#### On the "pointfree transform": from *description* to *calculation* and back

#### ALFA LERNET kick-ff meeting, U. Minho, Braga

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LERNET meeting — 06/15 – p.1/45

# **Problem-solving strategy**

Software technology is becoming a mature discipline in its (however late) adoption of the universal problem solving strategy (UPS) which one is taught at school:

- understand your problem
- build a mathematical model of it
- reason in such a model
- upgrade your model, if necessary
- **calculate** a final solution and implement it.

# School maths UPS example

#### The problem:

My three children were born at a 3 year interval rate. Altogether, they are as old as me. I am 48. How old are they?

The model:

$$x + (x+3) + (x+6) = 48$$

The calculation:

## School maths UPS example

The calculation:

3x + 9 = 48  $\equiv { "al-djabr" rule}$  3x = 48 - 9  $\equiv { "al-hatt" rule }$ x = 16 - 3

The solution:

x = 13x + 3 = 16x + 6 = 19

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# **UPS** sophistication

Only the underlying mathematics changes:

- from simple arithmetics at primary school to
- systems of linear equations, then to
- differential/integral equations
- eventually: software calculi

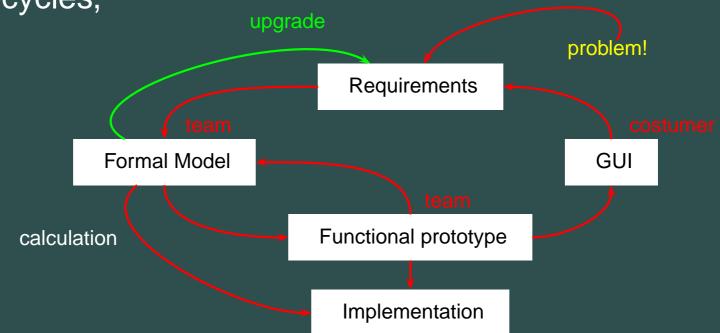
Useful calculation rules are forever, eg.:

$$x - z \le y \equiv x \le z + y$$

cf. Al-Khowarizm's al-jabr rule (9c) Galois connections (19c), etc

# Formal methods and the UPS

- Formal Methods are 40 years old
- Formal specification languages, refinement calculi, life-cycles,



However: how much of all this is to be left for posterity?

# **UPS challenges**

A "notation problem":

mathematical modelling requires descriptive notations, therefore:

intuitive

domain-specific

calculations require elegant notations, therefore:

simple and compact

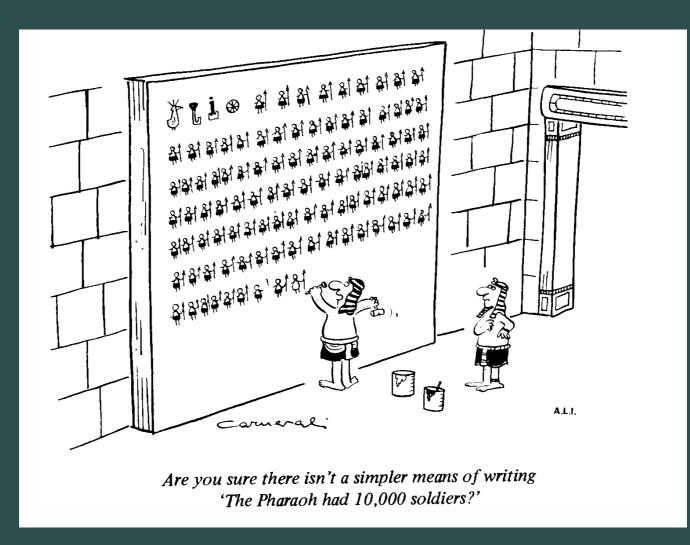
generic

cryptic, otherwise uneasy to manipulate

Recall Dijsktra's definition : elegant  $\equiv$  simple and remarkably

effective

# Why formal / elegant notations?



#### **Trend for notation economy**

Well-known throughout the history of maths — a kind of "natural language implosion" — particularly visible in the syncopated phase (16c), eg.

.40.p.2.ce. son yguales a .20.co

(P. Nunes, Coimbra, 1567) for nowadays  $40 + 2x^2 = 20x$ , or B 3 in A quad - D plano in A + A cubo æquatur Z solido

(F. Viète, Paris, 1591) for nowadays  $3BA^2 - DA + A^3 = Z$ 

# **Descriptive vs cryptic PLs**

Attempts of the past:

- COBOL the natural language description dream
- PASCAL almost there for algorithmic description, not so good in recursive data abstraction
- APL too cryptic for descriptive purposes
- Backus' FP cryptic but pretty close on the calculation side

# Currently

JAVA, C<sup>{++,#,...}</sup> - powerful but, how to we reason about these?

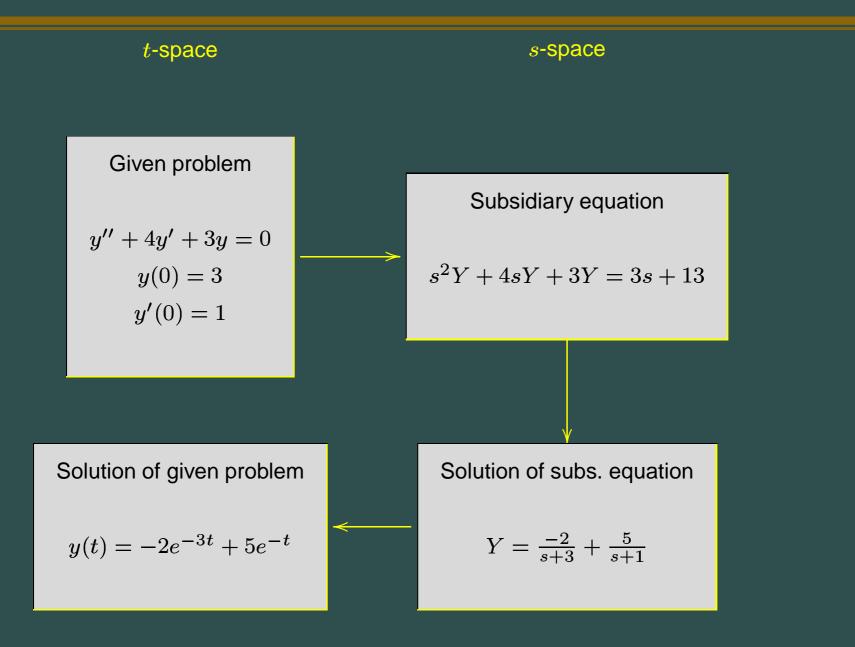
HASKELL - elegant and powerful (if not misused)

VDM/Z - formal abstract modelling at last, but too set-theoretic (proofs grow exponentially complex)

Not enough:

Maths notations often require transforms for calculation purposes, eg. the Laplace transform:

#### Laplace transform



# A transform for set-theory

An old idea:

[sets, predicates] = pointfree binary relations

Calculus of binary relations  $B \stackrel{R}{\longleftarrow} A$ :

- 1860 introduced by De Morgan, embryonic
- 1870 Peirce finds interesting equational laws
- 1941 Tarski's school, cf. A Formalization of Set Theory without Variables

1980's - coreflexive models of sets (Freyd and Scedrov, Eindhoven MPC group and others)

# **Binary Relations**

Arrow B - A denotes a binary relation to B(target) from A (source). **b**Ra means that pair (b, a) is in R. "R at most S" ordering:  $R \subseteq S \equiv \langle \forall a, b :: bRa \Rightarrow bSa \rangle$ • Converse of  $R - R^\circ$  such that  $a(R^\circ)b$  iff bRa. **Composition** —  $b(R \cdot S)c$  wherever  $\langle \exists a :: bRa \land aSc \rangle$ • Identity: *id* such that  $R \cdot id = id \cdot R = R$ 

#### **Sets and predicates**

The meaning of a predicate  $\phi$  is the coreflexive relation  $\llbracket \phi \rrbracket \subseteq id$  such that  $b\llbracket \phi \rrbracket a \equiv (b = a) \land (\phi a)$ . Example:



The meaning of a set  $S \subseteq A$  is the meaning of its characteristic predicate  $[\lambda a.a \in S]$ , that is,

$$b[\![S]\!]a \equiv (b=a) \land a \in S$$

Uppercase  $\Phi$  will abbreviate  $\phi$ . Of course,  $\Phi^{\circ} = \Phi$ .

#### Useful "al-djabr" rules

Most of them are Galois connections, eg.:

$$\begin{aligned} f \cdot R &\subseteq S \quad \equiv R \subseteq \left( f^{\circ} \right) \cdot S \\ R \cdot \left( f^{\circ} \right) &\subseteq S \quad \equiv R \subseteq S \cdot \left( f \right) \\ \hline T \cdot R \subseteq S \quad \equiv R \subseteq \left( T \right) \setminus S \end{aligned}$$

where  $T \setminus S$  pointfree-transforms another kind of universally quantified implication:

 $b(T \setminus S)a \equiv \langle \forall x :: x T b \Rightarrow x S a \rangle$ 

# Illustration

Remainder of talk overviews recent work on the pointfree-transform applied to two different problem domains:

- Database theory functional and multi-valued dependences
- Operation refinement Groves factorization of the satisfaction relation (joint work with Ph.D. student C. Rodrigues)

Need to develop the extended composition and inclusion rules which follow.

#### "Guarded" composition

Given

■ binary relations 
$$B \xleftarrow{R} A$$
 and  $A \xleftarrow{S} C$   
■ predicate 2  $\xleftarrow{\phi} A$  (ie. coreflexive  $\Phi$ )  
■  $b \in B$  and  $c \in C$ 

Then

$$\langle \exists a : \phi a : b R a \land a Sc \rangle$$

pointfree-transforms to

 $b(R \cdot \Phi \cdot S)c$ 

#### "Guarded 'at most"

Given • binary relations  $B \xrightarrow{R,S} A$ • predicates  $2 \xrightarrow{\psi} A$  and  $2 \xrightarrow{\phi} B$  (ie., coreflexives  $\Psi$  and  $\Phi$ , respectively) Then

 $\langle \forall b, a : (\phi b) \land (\psi a) : b R a \Rightarrow b S a \rangle$ 

pointfree-transforms to

 $\Phi \cdot R \cdot \Psi^{\circ} \subseteq S$ 

# **Example 1: FDs in RDB theory**

#### Given relational table

$$\mathbf{T} = \begin{bmatrix} \dots & x & \dots & y & \dots \\ \dots & a & \dots & b & \dots \\ \dots & b & \dots & b & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots \end{bmatrix}$$

this is said to satisfy functional dependency  $x \rightarrow y$  iff all pairs of tuples  $t, t' \in T$  which "agree" on x also "agree" on y, that is,

$$\langle \forall t, t' : t, t' \in T : t[x] = t'[x] \Rightarrow t[y] = t'[y] \rangle$$

## **Standard FD theory**

Inference rules for FD reasoning based on

Armstrong axioms for computing the closure of a set of FDs

However,

formula

$$\langle \forall \ t,t' \ : \ t,t' \in T : \ t[x] = t'[x] \ \Rightarrow \ t[y] = t'[y] \ \rangle$$

— with its logical implication inside a "two-dimensional" universal quantification — is not particularly agile.

We want to write less and... "let the symbols work"!

# The role of functions

#### From Database Systems: The Complete Book by

Garcia-Molina, Ullman and Widom (2002), p. 87:

#### What Is "Functional" About Functional Dependencies?

 $A_1A_2 \cdots A_n \rightarrow B$  is called a "functional dependency" because in principle there is a function that takes a list of values [...] and produces a unique value (or no value at all) for B [...] However, this function is not the usual sort of function that we meet in mathematics, because there is no way to compute it from first principles. [...] Rather, the function is only computed by lookup in the relation [...]

However,

No advantage is taken of the rich calculus of functions

In fact, functions are everywhere in FD theory:

as attributes and as the FDs themselves

# **Functions in one slide**

A function f is a binary relation such that

Pointwise	Pointfree	
"Left" Uniqueness		
$b f a \wedge b' f a \Rightarrow b = b'$	$img f \subseteq id$	( <i>f</i> is simple)
Leibniz principle		
$a = a' \Rightarrow f a = f a'$	$id \subseteq ker f$	( <i>f</i> is entire)

equivalent to GCs  $f \cdot R \subseteq S \equiv R \subseteq f^{\circ} \cdot S$   $R \cdot f^{\circ} \subseteq S \equiv R \subseteq S \cdot f$ (NB: *ker*  $f = img f^{\circ} = f^{\circ} \cdot f$  measures *f*'s injectivity).

#### **Pointfree-transform**

Since attribute sets are (projection) functions,

- transform (x t) = (x t') into t(ker x)t' etc
- thanks to the "guarded 'at most' rule", for  $\Phi = \Psi = \llbracket T \rrbracket$ ,  $R = \ker x, S = \ker y$  transform

$$\langle \forall t, t' : t, t' \in T : (x t) = (x t') \Rightarrow (y t) = (y t') \rangle$$

$$\llbracket T \rrbracket \cdot (\operatorname{\textit{ker}} x) \cdot \llbracket T \rrbracket \subseteq \operatorname{\textit{ker}} y$$

and then to...

### Pointfree, generic FDs

#### ... and then to

$$y \leq x \cdot \llbracket T \rrbracket$$

where  $\leq$  is the "injectivity" preorder:

 $R \leq S \equiv \ker S \subseteq \ker R$ 

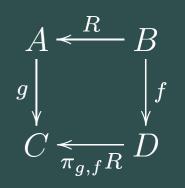
Going generic:

$$f \stackrel{R}{\rightarrow} g \equiv g \leq f \cdot R^{c}$$

## Reasoning

Pointfree "al-jabr" rules show the above to be equivalent to

 $f \xrightarrow{R} g \equiv$  projection  $\pi_{g,f}R$  is simple, where  $\pi_{g,f}R = g \cdot R \cdot f^{\circ}$ .



What is this useful for?

- Armstrong axioms for free
- Good cornerstone for RDB theory to follow, cf. eg. the more general multi-valued dependences

### **MVD** standard definition

 $\beta'$ 

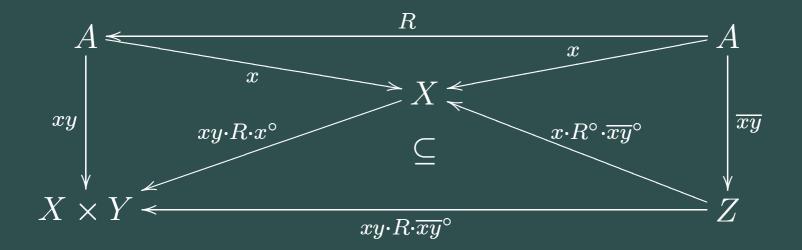
 $\alpha$ 

*n*-ary relation *T* is said to satisfy the multi-valued dependency (MVD)  $x \rightarrow y$  iff, for any two tuples  $t, t' \in T$  which "agree" on *x* there exists a tuple  $t'' \in T$  which "agrees" with *t* on *xy* and "agrees" with *t'* on S - xy, that is,

#### **MVDs** pointfree-transformed

Thanks to the "guarded rules" we obtain, in sequence:

$$\begin{array}{rcl} & \stackrel{R}{\longrightarrow} y & \equiv & \ker \left( x \cdot R^{\circ} \right) \subseteq \left( \ker xy \right) \cdot R \cdot \ker \overline{xy} \\ \\ & \equiv & \left( xy \cdot R \cdot x^{\circ} \right) \cdot \left( x \cdot R^{\circ} \cdot \overline{xy}^{\circ} \right) & \subseteq & xy \cdot R \cdot \overline{xy}^{\circ} \\ \\ & \equiv & \left( \pi_{xy,x} R \right) \cdot \left( \pi_{x,\overline{xy}} R^{\circ} \right) & \subseteq & \pi_{xy,\overline{xy}} R \end{array}$$



#### **Lossless decomposition**

We are pretty close to one of the main results in RDB theory, the theorem of lossless decomposition of MVDs:  $x \xrightarrow{T} y$ holds iff *T* decomposes losslessly into two relations with schemata *xy* and *xyx*, respectively:

$$x \xrightarrow{I} y \equiv (\pi_{y,x}T) \bowtie (\pi_{\overline{yx},x}T) = \pi_{y\overline{yx},x}T$$

Maier [4] proves this in "implication-first" logic style, in two parts — if + only if — involving existential and universal quantifications over no less than six tuple variables  $t, t_1, t_2, t'_1, t'_2, t_3$ :

### Lossless decomposition (Maier)

**Theorem 7.1** Let r be a relation on scheme R, and let X, Y, and Z be subsets of R such that Z = R - (X Y). Relation r satisfies the MVD  $X \rightarrow Y$  if the relation only if r decomposes losslessly onto the relation schemes  $R_1 = X Y$  and  $R_2 = X Z$ .

**Proof:** Suppose the MVD holds. Let  $r_1 = \pi_{R_1}(r)$  and  $r_2 = \pi_{R_2}(r)$ . Let t be a tuple in  $r_1 \bowtie r_2$ . There must be a tuple  $t_1 \in r_1$  and a tuple  $t_2 \in r_2$  such that  $t(X) = t_1(X) = t_2(X)$ ,  $t(Y) = t_1(Y)$ , and  $t(Z) = t_2(Z)$ . Since  $r_1$  and  $r_2$  are projections of r, there must be tuples  $t_1'$  and  $t_2'$  in r with  $t_1(X|Y) = t_1'(X|Y)$ and  $t_2(X|Z) = t_2'(X|Z)$ . The MVD  $X \rightarrow Y$  implies that t must be in r, since r must contain a tuple  $t_3$  with  $t_3(X) = t_1'(X)$ ,  $t_3(Y) = t_1'(Y)$ , and  $t_3(Z) = t_2'(Z)$ , which is a description of t.

Suppose now that r decomposes losslessly onto  $R_1$  and  $R_2$ . Let  $t_1$  and  $t_2$  be tuples in r such that  $t_1(X) = t_2(X)$ . Let  $r_1$  and  $r_2$  be defined as before. Relation  $r_1$  contains a tuple  $t'_1 = t_1(X|Y)$  and relation  $r_2$  contains a tuple  $t'_2 = t_2(X|Z)$ . Since  $r = r_1 \bowtie r_2$ , r contains a tuple t such that  $t(X|Y) = t_1(X|Y)$ and  $t(X|Z) = t_2(X|Z)$ . Tuple t is the result of joining  $t'_1$  and  $t'_2$ . Hence  $t_1$  and  $t_2$  cannot be used in a counterexample to  $X \rightarrow Y$ , hence r satisfies  $X \rightarrow Y$ .

# Our (pointfree) proof

A sequence of equivalences (for details see my draft paper *First Steps in Pointfree Functional Dependency Theory*):

$$(\pi_{y,x}T) \bowtie (\pi_{\overline{yx},x}T) = \pi_{y\overline{yx},x}T$$

$$\equiv \{ \text{ unfold definitions } \}$$

$$\langle y \cdot T \cdot x^{\circ}, \overline{yx} \cdot T \cdot x^{\circ} \rangle = y\overline{yx} \cdot T \cdot x^{\circ}$$

$$\equiv \{ \text{ since } \langle R, S \rangle \cdot T \subseteq \langle R \cdot T, S \cdot T \rangle \text{ holds by monotonicity } \}$$

$$\langle y \cdot T \cdot x^{\circ}, \overline{yx} \cdot T \cdot x^{\circ} \rangle \subseteq y\overline{yx} \cdot T \cdot x^{\circ}$$

$$\equiv \{ \text{ "split twist" rule ; converses } \}$$

$$\langle y \cdot T \cdot x^{\circ}, id \rangle \cdot (x \cdot T^{\circ} \cdot \overline{yx}^{\circ}) \subseteq \langle y, x \cdot T^{\circ} \rangle \cdot \overline{yx}^{\circ}$$

# **Pointfree proof**

$$= \{ \text{ difunctionality } (x = x \cdot x^{\circ} \cdot x) \}$$

$$\langle y \cdot T \cdot x^{\circ}, id \rangle \cdot x \cdot x^{\circ} \cdot x \cdot T^{\circ} \cdot \overline{yx^{\circ}} \subseteq \langle y, x \cdot T^{\circ} \rangle \cdot \overline{yx^{\circ}}$$

$$= \{ \langle R, S \rangle \cdot \Phi = \langle R, S \cdot \Phi \rangle \text{ for } \Phi := x \cdot x^{\circ} \text{ and } \Phi := T^{\circ} \}$$

$$\langle y \cdot T \cdot x^{\circ}, x \cdot x^{\circ} \rangle \cdot x \cdot T^{\circ} \cdot \overline{yx^{\circ}} \subseteq \langle y, x \rangle \cdot T^{\circ} \cdot \overline{yx^{\circ}}$$

$$= \{ \langle R, f \rangle \cdot f^{\circ} = \langle R \cdot f^{\circ}, f \cdot f^{\circ} \rangle \text{ ; above rule for } \Phi = T \}$$

$$(\langle y, x \rangle \cdot T \cdot x^{\circ}) \cdot (x \cdot T^{\circ} \cdot \overline{yx^{\circ}}) \subseteq \langle y, x \rangle \cdot T \cdot \overline{yx^{\circ}}$$

$$= \{ \text{ definition } \}$$

$$x \xrightarrow{T} y$$

#### **Example 2: ASM refinement**

ASM (=abstract state machines) refi nement ordering:

Machine  $\mathcal{P}A \xrightarrow{R} A$  implements machine  $\mathcal{P}A \xrightarrow{S} A$  —written  $S \vdash R$  iff

 $\langle \forall a : (S a) \supset \emptyset : \emptyset \subset (R a) \subseteq (S a) \rangle$ 

where S a means the set of states reachable (in machine S) from state a.

# **Going pointfree**

ASM machines above are power-transposes of state-transition binary relations. Why not work with these directly? We obtain alternative pointwise definition

 $S \vdash R \equiv \langle \forall a : a \in \mathsf{dom} S : a \in \mathsf{dom} R \land (\langle \forall b : b R a : b S \rangle)$ 

the pointfree-transform of which is

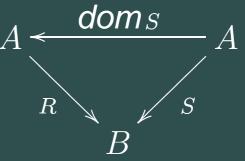
 $S \vdash R \equiv \operatorname{dom} S \subseteq \operatorname{dom} R \cap (R \setminus S)$ 

that is

 $S \vdash R \equiv (\operatorname{dom} S \subseteq \operatorname{dom} R) \land R \cdot \operatorname{dom} S \subseteq S$ 

#### Generalization

Easy to see that the source and target types of both S, Rdon't need to be the same:



So, pointfree-transformed  $S \vdash R$  covers other refinement situations such that eg. a VDM implicit specification S refined by some function f:

 $S \vdash f \equiv \operatorname{dom} S \subseteq f^{\circ} \cdot S$ 

In fact, from this — back to points — we obtain, in classical "VDM-speak"

$$\forall a. \mathsf{pre-}S(a) \Rightarrow \mathsf{post-}S(f \ a, a)$$

# ⊢ = "meet of opposites"

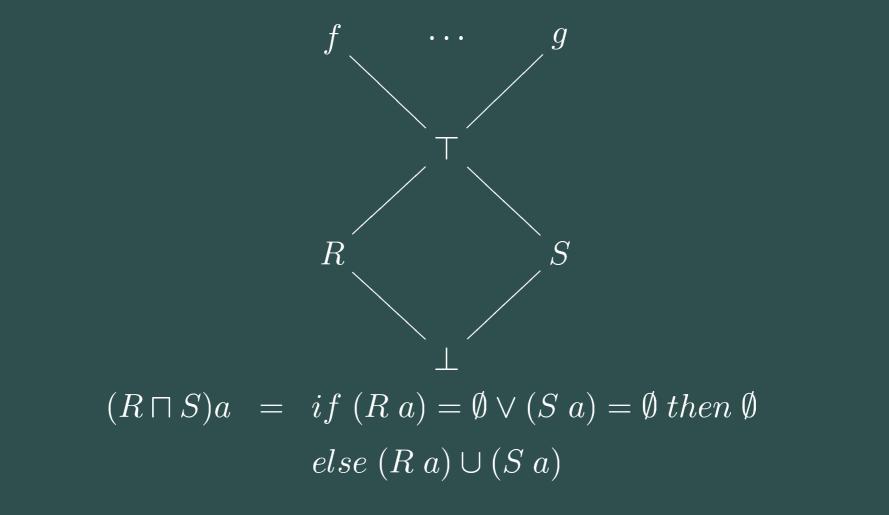
Although consensual, the  $\vdash$  ordering hosts a kind of *conflict* in its definition:

■  $dom S \subseteq dom R$  (=R more defined than S) suggests R "larger" than S

■  $R \cdot dom S \subseteq S$  (=R more deterministic than S) suggests R "smaller" than S

Can  $\vdash$  be "factored" into such two (kind of "anti-symmetric") sub-orderings?

#### **Funny shaped semi-lattice**



# Guessing $\vdash_{pre}$ and $\vdash_{post}$

Refine but do not increase definition:

 $S \vdash_{post} R \equiv S \vdash R \land dom R \subseteq dom S$ 

Refine but do not increase determinism:

 $S \vdash_{pre} R \equiv S \vdash R \land S \subseteq R \cdot \operatorname{dom} S$ 

Easy calculations simplify these to:

$$dot_{post} = \subseteq^{\circ} \cap ker \ dom$$
$$S dot_{pre} R \equiv R \cdot dom S = S$$

## Relax / constrain rules

The following equivalences stem from the pointfree definitions:Refine S by "relaxing" it:

 $S \vdash_{pre} S \cup R \equiv R \cdot \operatorname{dom} S \subseteq S$ 

#### Refine S by "constraining" it:

 $S \vdash_{post} S \cap R \equiv dom S = dom (R \cap S)$ 

# $\vdash \subseteq \vdash_{pre} \cdot \vdash_{post} calculation$

 $S \vdash R$ 

 $\equiv$  $R \cdot \operatorname{dom} S \subseteq S \land \operatorname{dom} S \subseteq \operatorname{dom} R$  $\equiv$  $R \cdot \operatorname{dom} S \subseteq S \land (\operatorname{dom} S) \cup (\operatorname{dom} R) = \operatorname{dom} R$  $\equiv$  $(S \vdash_{pre} S \cup R) \land dom(S \cup R) = dom R$  $\equiv$  { constrain rule, since  $R = R \cap (S \cup R)$  }  $((S \vdash_{pre} S \cup R) \land (S \cup R) \vdash_{post} R \cap (S \cup R)$  $\equiv$  $(S \vdash_{pre} S \cup R) \land (S \cup R) \vdash_{post} R$  $\Rightarrow$  { composition }  $S(\vdash_{pre} \cdot \vdash_{post})R$ 

#### **Groves factorization**

Very straightforward. A similar calculation leads to

 $\vdash \subseteq \vdash_{post} \cdot \vdash_{pre}$ 

and since

$$\vdash_{post} \cdot \vdash_{pre} \subseteq \vdash \\ \vdash_{pre} \cdot \vdash_{post} \subseteq \vdash$$

hold by monotonicity, we've altogether calculated Groves factorization

$$\vdash_{pre} \cdot \vdash_{post} = \vdash = \vdash_{post} \cdot \vdash_{pre}$$

# Calculating $R \sqcap T$

Instead of "inventing"

 $(R \sqcap T)a = if (R a) = \emptyset \lor (T a) = \emptyset then \emptyset$ else (R a)  $\cup$  (T a)

and proving that it satisfies (universal property) equation

 $S \vdash R \sqcap T \equiv S \vdash R \land S \vdash T$ 

at point-level, we calculate its unique solution

 $R \sqcap T = (R \cup T) \cdot \operatorname{dom} R \cdot \operatorname{dom} T$ 

at pointfree-transform level:

# Calculating $R \sqcap T$

#### $S \vdash R \sqcap T$

 $S \vdash R \ \land \ S \vdash T$ 

 $\operatorname{dom} S \subseteq (R \setminus S \cap T \setminus S) \cap \operatorname{dom} R \cdot \operatorname{dom} T$ 

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 $\operatorname{dom} S \subseteq (R \cup T) \setminus S \cap \operatorname{dom} R \cdot \operatorname{dom} T$ 

- $\equiv \{ (R \cdot \Phi \setminus S) \cap \Phi = (R \setminus S) \cap \Phi \text{ for } R, \Phi := R \cup T, \text{ dom } R \cdot \text{ dom } T \}$  $\operatorname{dom} S \subseteq ((R \cup T) \cdot \operatorname{dom} R \cdot \operatorname{dom} T) \setminus S \cap (\operatorname{dom} R \cdot \operatorname{dom} T)$
- $\equiv \{ dom((R \cup T) \cdot dom R \cdot dom T) = dom R \cdot dom T; definition \}$

 $S \vdash ((R \cup T) \cdot \operatorname{dom} R \cdot \operatorname{dom} T)$ 

:: { indirect equality}

 $R \sqcap T = (R \cup T) \cdot \operatorname{dom} R \cdot \operatorname{dom} T$ 

#### **Related work**

Boudriga et al [2] formulate  $S \vdash R$  relationally but reason at pointwise level

Lindsay Groves [3] postulates and then proves the above decomposition in the context of the Z schema calculus, requiring the extra notion of *compatible* relations, which complicates proofs unnecessarily.

Our goal is to apply this factorization to the refinement of "components as coalgebras" — eg. (monadic) machines (=objects) of type  $B \times (M A)^{I} - A$  — cf. Barbosa and Meng research [5].

# Summary

Invest in perennial reasoning strategies

- Shift from "implication first" maths to "let the symbols work" maths
- Rôle of transforms, abstract notation and abstract patterns (easier to spot al-jabr rules)
- Stimulate elegance in mathematics (it is effective!)
- Learn with the other engineering disciplines
- Recommended reading: Backhouse's draft text book [1].

#### References

- [1] R.C. Backhouse. *Mathematics of Program Construction*. Univ. of Nottingham, 2004. Draft of book in preparation. 608 pages.
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