

# Deduction systems and intuitionism

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# (Classical) propositional logic

$\mathcal{V}_{Prop}$  infinite set of propositional variables

- $p, q, \dots$  are formulae ( $\mathcal{V}_{Prop}$ );
- $\perp$  is a formula;
- if  $\varphi$  and  $\psi$  are formulae, then  $(\varphi \rightarrow \psi)$ ,  $(\varphi \wedge \psi)$ ,  $(\varphi \vee \psi)$  and  $(\neg\varphi)$  are formulae.

## Semantics of (classical) propositional logic

Truth values:  $\top$  and  $\perp$

Interpretation  $v : \mathcal{V}_{Prop} \longrightarrow \{\top, \perp\}$

The interpretation can be inductively extended to the set of formulae:

$\varphi$	$\neg \varphi$
$\perp$	$\top$
$\top$	$\perp$

$\varphi$	$\psi$	$\varphi \wedge \psi$
$\perp$	$\perp$	$\perp$
$\perp$	$\top$	$\perp$
$\top$	$\perp$	$\perp$
$\top$	$\top$	$\top$

$\varphi$	$\psi$	$\varphi \vee \psi$
$\perp$	$\perp$	$\perp$
$\perp$	$\top$	$\top$
$\top$	$\perp$	$\top$
$\top$	$\top$	$\top$

$\varphi$	$\psi$	$\varphi \rightarrow \psi$
$\perp$	$\perp$	$\top$
$\perp$	$\top$	$\top$
$\top$	$\perp$	$\perp$
$\top$	$\top$	$\top$

A formula  $\varphi$  is

**satisfiable** iff exists an interpretation  $v$  such that  $v(\varphi) = \top$ ,  $\models_v \varphi$  (and  $v$  satisfies  $\varphi$ )

Ex:  $\models p \vee q$

**valid** iff for all interpretations  $v$ ,  $v(\varphi) = \top$ ,  $\models \varphi$

Ex:  $\models p \vee \neg p$

**contradiction** iff for all interpretations  $v$ ,  $v(\varphi) = \perp$  ( $\not\models \varphi$ ).

Ex:  $\not\models p \wedge \neg p$ .

## Entailment

$\Gamma$  a set of formulae

An interpretation  $v$  **satisfies**  $\Gamma$  iff  $v$  satisfies every formula  $\psi \in \Gamma$ .

$\Gamma$  is **satisfiable** if exists an interpretation that satisfies  $\Gamma$

$\Gamma$  **entails**  $\varphi$ ,  $\Gamma \models \varphi$ , iff all interpretations that satisfy  $\Gamma$ , satisfy also  $\varphi$ .

$\emptyset \models \varphi$  is equivalent to  $\models \varphi$

# Deduction Systems

Sets of rules and axioms from which it is possible to obtain a formula  $\varphi$  (considering or not an initial set of assumptions  $\Gamma$ ): :

$$\vdash \varphi$$

or

$$\Gamma \vdash \varphi$$

If  $\vdash \varphi$ ,  $\varphi$  is a **theorem**

The (proof) deduction systems must be **sound** and **complete**:

$$\vdash \varphi \text{ iff } \models \varphi$$

or :

$$\Gamma \vdash \varphi \text{ iff } \Gamma \models \varphi$$

## Rules

A **inference rule** is of the form:

$$\frac{\varphi_1, \dots, \varphi_n}{\psi}$$

$\varphi_i$  are assumptions,  $\psi$  is the conclusion

A rule without assumptions is called **axiom**

**Deduction** (derivation or proof) of  $\varphi$  is a tree:

- each node is labelled with a formula
- the formula of a parent node is a conclusion of a rule, which assumptions are formulae of the children nodes
- formulae of the leaves are called *initial*
- the root formula is the final formula  $\varphi$

# Hilbert-style system

Considering only a complete set of connectives  $\{\neg, \rightarrow\}$ :

## Axioms

- $\varphi \rightarrow (\psi \rightarrow \varphi)$
- $(\varphi \rightarrow (\psi \rightarrow \theta)) \rightarrow ((\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow \theta))$
- $(\neg\psi \rightarrow \neg\varphi) \rightarrow ((\neg\psi \rightarrow \varphi) \rightarrow \psi)$

## Inference rules

- *Modus ponens*: from  $\varphi$  and  $\varphi \rightarrow \psi$ , infer  $\psi$

## Lemma (deduction lemma)

If  $\Sigma \cup \{\psi\} \vdash_H \theta$  then  $\Sigma \vdash_H \psi \rightarrow \theta$ .

## Theorem

*The deduction system  $H$  is sound and complete for classical propositional logic.*

# Natural Deduction

- System invented by G. Gentzen (1935) which rules try to reflect the usual mathematical proofs.
- It has no axioms. Only inference rules.
- For each connective, exist two types of rules:
  - **introduction** rules
  - **elimination** rules.
- The initial formulae can be **assumptions** introduced for the application of a rule:

*A sub-deduction starts and when ends, the correspondent assumptions are cancelled*

# Motivation for the Natural Deduction

$$(X \vee (Y \wedge Z)) \rightarrow ((X \vee Y) \wedge (X \vee Z))$$

Proof:

$X$	$Y \wedge Z$
$X \vee Y$	$Y$
$X \vee Z$	$Z$
$((X \vee Y) \wedge (X \vee Z))$	$X \vee Y$
	$X \vee Z$
	$((X \vee Y) \wedge (X \vee Z))$

$[(X \vee (Y \wedge Z))]$	$\frac{[X] \quad X \vee Y \quad X \vee Z}{(X \vee Y) \wedge (X \vee Z)}$	$\frac{[Y \wedge Z] \quad Y \quad Z \quad X \vee Y \quad X \vee Z}{(X \vee Y) \wedge (X \vee Z)}$
$\frac{(X \vee Y) \wedge (X \vee Z)}{(X \vee (Y \wedge Z)) \rightarrow ((X \vee Y) \wedge (X \vee Z))}$		

	Introduction	Elimination
$\wedge$	$\frac{\begin{array}{c} \vdots \\ \varphi \end{array} \quad \begin{array}{c} \vdots \\ \psi \end{array}}{\varphi \wedge \psi} \wedge I$	$\frac{\begin{array}{c} \vdots \\ \varphi \wedge \psi \\ \varphi \end{array}}{\varphi} \wedge E_1 \quad \frac{\begin{array}{c} \vdots \\ \varphi \wedge \psi \\ \psi \end{array}}{\psi} \wedge E_2$
$\vee$	$\frac{\begin{array}{c} \vdots \\ \varphi \end{array}}{\varphi \vee \psi} \vee I_1 \quad \frac{\begin{array}{c} \vdots \\ \psi \end{array}}{\varphi \vee \psi} \vee I_2$	$\frac{\begin{array}{c} \vdots \\ \varphi \vee \psi \\ \gamma \end{array} \quad \begin{array}{c} [\varphi]^i \\ \vdots \\ \gamma \end{array} \quad \begin{array}{c} [\psi]^j \\ \vdots \\ \gamma \end{array}}{\gamma} \vee E, i, j$
$\rightarrow$	$\frac{\begin{array}{c} [\varphi]^i \\ \vdots \\ \psi \end{array}}{\varphi \rightarrow \psi} \rightarrow I, i$	$\frac{\begin{array}{c} \vdots \\ \varphi \end{array} \quad \begin{array}{c} \vdots \\ \varphi \rightarrow \psi \end{array}}{\psi} \rightarrow E$

## Examples

$\vdash \varphi \rightarrow (\psi \rightarrow \varphi)$

$$\frac{\frac{[\varphi]^2}{\psi \rightarrow \varphi} 1}{\varphi \rightarrow (\psi \rightarrow \varphi)} 2$$

$\vdash (\varphi \rightarrow (\psi \rightarrow \gamma)) \rightarrow ((\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow \gamma))$

$$\frac{\frac{\frac{[\varphi \rightarrow (\psi \rightarrow \gamma)]^1 \quad [\varphi]^2}{\psi \rightarrow \gamma} \quad \frac{[\varphi \rightarrow \psi]^3 \quad [\varphi]^2}{\psi}}{\frac{\gamma}{\varphi \rightarrow \gamma} 2} 3}{(\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow \gamma)} 3}{(\varphi \rightarrow (\psi \rightarrow \gamma)) \rightarrow ((\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow \gamma))} 1$$

	Introduction	Elimination
$\neg$	$\frac{\perp}{\neg\varphi} \neg I, i$	$\frac{\varphi \quad \neg\varphi}{\psi} \neg E$
$\neg\neg$	$\frac{\varphi}{\neg\neg\varphi} \neg\neg I$	$\frac{\neg\neg\varphi}{\varphi} \neg\neg E$

## Example

$\vdash (\neg\psi \rightarrow \neg\varphi) \rightarrow ((\neg\psi \rightarrow \varphi) \rightarrow \psi)$

$$\frac{\frac{\frac{[\neg\psi \rightarrow \neg\varphi]^1 \quad [\neg\psi]^2}{\neg\varphi} \quad \frac{[\neg\psi \rightarrow \varphi]^3 \quad [\neg\psi]^2}{\varphi}}{\frac{\perp}{\neg\neg\psi} 2} \psi}{(\neg\psi \rightarrow \varphi) \rightarrow \psi} 3}{(\neg\psi \rightarrow \neg\varphi) \rightarrow ((\neg\psi \rightarrow \varphi) \rightarrow \psi)} 1$$



# Modus Tollens

$$\frac{\varphi \rightarrow \psi \quad \neg\psi}{\neg\varphi} \text{MT}$$

$$\frac{\varphi \rightarrow \psi \quad [\varphi]^1}{\psi} \quad \neg\psi$$


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$$\frac{\perp}{\neg\varphi} 1$$

## Excluded middle

$$\frac{}{\varphi \vee \neg\varphi} \text{TE}$$

$$\frac{[\neg(\varphi \vee \neg\varphi)]^3 \quad \frac{[\neg\varphi]^1}{\varphi \vee \neg\varphi}}{\frac{\perp}{\neg\neg\varphi} 1} \quad \frac{[\neg(\varphi \vee \neg\varphi)]^3 \quad \frac{[\varphi]^2}{\varphi \vee \neg\varphi}}{\frac{\perp}{\neg\varphi} 2}}$$


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$$\frac{\perp}{\neg\neg(\varphi \vee \neg\varphi)} 3$$


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$$\frac{}{\varphi \vee \neg\varphi}$$

## Theorem

*The system  $NK_0$  is sound and complete for classic propositional logic:  
 $\vdash^{NK_0} \varphi$  iff  $\models \varphi$ . And  $\Gamma \vdash^{NK_0} \varphi$  iff  $\Gamma \models \varphi$*

## Theorem (Decidability)

*There is an algorithm which, given a finite set  $\Gamma$  of formulas and a formula  $\varphi$ , decides whether  $\Gamma \vdash^{NK_0} \varphi$ .*

## (Classical) First-Order Logic

### Syntax

- $Var = \{x, y, \dots, x_0, y_0, \dots\}$  infinite set of variables
- logic connectives  $\wedge, \vee, \neg, \rightarrow$ ;
- quantifiers  $\forall$  (universal) and  $\exists$  (existential);
- parenthesis ( and );
- a set  $\mathcal{F}$  of functional symbols  $f, g, h, \dots$ ;
- a set  $\mathcal{P}$  of predicate symbols  $P, Q, R, \dots$ ;
- an arity function  $m : \mathcal{F} \cup \mathcal{R} \rightarrow \mathbb{N}$

## Terms

The set  $\mathcal{T}$  of Terms  $t, s, \dots$  are inductively defined by:

- a variable is a term
- for every  $f \in F$  of arity  $m$ , for all terms  $t_1, \dots, t_m$ ,  $f(t_1, \dots, t_m)$  is also a term

# (Classical) First-Order Logic

## Formulas

- if  $t_1, \dots, t_m$  are terms and  $R \in \mathcal{P}$  of arity  $m$  then  $R(t_1, \dots, t_m)$  is an atomic formula.
- an atomic formula is a formula
- if  $\varphi$  is a formula,  $\neg\varphi$  is a formula;
- if  $\varphi$  and  $\psi$  are formulas, then  $(\varphi \wedge \psi)$ ,  $(\varphi \vee \psi)$  and  $(\varphi \rightarrow \psi)$  are *formulas*
- if  $\varphi$  is a formula and  $x$  a variable, then  $\forall x \varphi$  and  $\exists x \varphi$  are *formulas*.

## Interpretation

An **interpretation**  $\mathcal{A}$  is a pair  $(A, \cdot^{\mathcal{A}})$  where  $A$  is a non-empty set (domain) and  $\cdot^{\mathcal{A}}$  a function such that:

- associates to each constant  $c$  an element  $c^{\mathcal{A}} \in A$
- associates to each functional symbol  $f \in \mathcal{F}$  of arity  $m(f) = n, n > 0$  a function  $f^{\mathcal{A}}$  from  $A^n$  to  $A$
- associates to each predicate symbol  $R \in \mathcal{R}$  of arity  $m(R) = n, n > 0$  a relation  $R^{\mathcal{A}} \subseteq A^n$

An **assignment** is a function from  $s : Var \rightarrow A$ . It can be naturally extended to set of terms.

# First-Order Semantics

## Satisfiability

Given an interpretation  $\mathcal{A} = (A, \cdot^{\mathcal{A}})$  and an assignment  $s$ , the satisfiability relation  $\mathcal{A} \models_s \phi$  is inductively defined by:

- 1  $\mathcal{A} \models_s t_1 = t_2$  iff  $s(t_1) = s(t_2)$
- 2  $\mathcal{A} \models_s R(t_1, \dots, t_n)$  iff  $(s(t_1), \dots, s(t_n)) \in R^{\mathcal{A}}$
- 3  $\mathcal{A} \models_s \neg \phi$  iff  $\mathcal{A} \not\models_s \phi$
- 4  $\mathcal{A} \models_s \phi \wedge \psi$  iff  $\mathcal{A} \models_s \phi$  e  $\mathcal{A} \models_s \psi$
- 5  $\mathcal{A} \models_s \phi \vee \psi$  iff  $\mathcal{A} \models_s \phi$  ou  $\mathcal{A} \models_s \psi$
- 6  $\mathcal{A} \models_s \phi \rightarrow \psi$  iff  $\mathcal{A} \not\models_s \phi$  or  $\mathcal{A} \models_s \psi$
- 7  $\mathcal{A} \models_s \forall x \phi$  iff for all  $a \in A$   $\mathcal{A} \models_{s[a/x]} \phi$  where:

$$s[a/x](y) = \begin{cases} s(y) & \text{se } y \neq x \\ a & \text{se } y = x \end{cases}$$

- 8  $\mathcal{A} \models_s \exists x \phi$  iff exists an  $a \in A$  such that  $\mathcal{A} \models_{s[a/x]} \phi$

## Example

### Example

Let  $\mathcal{L}_N$  be a first-order language with  $\mathcal{F}_0 = \{0, 1\}$ ,  $\mathcal{F}_2 = \{+, \times\}$  e  $\mathcal{R}_2 = \{<\}$

Let  $\mathcal{N} = (\mathbb{N}, \cdot^{\mathcal{N}})$  be an interpretation with  $\cdot^{\mathcal{N}}$  given by:

- $0^{\mathcal{N}} = 0, 1^{\mathcal{N}} = 1$
- $+^{\mathcal{N}}(n, m) = n + m, \times^{\mathcal{N}}(n, m) = n \times m$
- $<^{\mathcal{N}} = \{(n, m) \in \mathbb{N}^2 \mid n < m\}$

For every assignment  $s : \text{Var} \rightarrow \mathbb{N}$ :

$$\mathcal{N} \models_s \forall x < (x, +(x, 1))$$

## Satisfiability, validity and entailment

A formula  $\varphi$  is

**satisfiable** iff exists an interpretation  $\mathcal{A}$  and an assignment  $s$ , such that  $\mathcal{A} \models_s \varphi$  ( $\mathcal{A}$  is a model of  $\phi$ )

**valid** iff for all interpretation  $\mathcal{A}$  and assignment  $s$ ,  $\mathcal{A} \models_s \varphi$  ( $\models \varphi$ )

A **model** of a set of formulas  $\Gamma$  is a model of all formulas of  $\Gamma$ ,  $\mathcal{A} \models_s \Gamma$ .

$\varphi$  **entails**  $\Gamma$ ,  $\Gamma \models \varphi$ , if every model of  $\Gamma$  is also a model of  $\varphi$ .

	Introduction	Elimination
$\forall$	$\frac{\begin{array}{c} [v] \\ \vdots \\ \varphi[v/x] \end{array}}{\forall x \varphi} \forall I \quad (a)$	$\frac{\forall x \varphi \quad \varphi[t/x]}{[v \quad \varphi[v/x]]} \forall E \quad (b)$
	$\frac{\varphi[t/x]}{\exists x \varphi} \exists I \quad (b)$	$\frac{\exists x \varphi \quad \psi}{\psi} \exists E \quad (c)$

(a) where  $v$  is a new variable

(b) where  $x$  is free for  $t$  in  $\varphi$

(c) where  $v$  is a new variable and not in  $\psi$

## Example

$$\neg \forall x \neg \varphi \rightarrow \exists x \varphi$$

$$\frac{\frac{\frac{\frac{\frac{\frac{\perp}{\neg \exists x \varphi}^2}{\exists x \varphi}^1}{\neg \varphi[u/x]}^1}{\forall x \neg \varphi}^3}{\neg \neg \exists x \varphi}^2}{\exists x \varphi}^3}{\neg \forall x \neg \varphi \rightarrow \exists x \varphi}^3$$

## Theorem

*The system NK is sound and complete for classical first-order logic:  
 $\vdash^{NK} \varphi$  iff  $\models \varphi$ . And  $\Gamma \vdash^{NK} \varphi$  iff  $\Gamma \models \varphi$*

## Theorem (Undecidability)

*The set of valid formulas of a first-order logic is recursively enumerable but not recursive, i.e., it is undecidable to determine if a formula is a theorem.*

## Sequents

In each step of a tree derivation it is not easy to know which are the open assumptions:

If  $\varphi$  depends on open assumptions  $\varphi_1, \dots, \varphi_k$ :

$$\varphi_1 \wedge \dots \wedge \varphi_k \rightarrow \varphi$$

## Sequents

$$\varphi_1, \dots, \varphi_n \Rightarrow \psi_1, \dots, \psi_m$$

**Meaning:**  $\varphi_1 \wedge \dots \wedge \varphi_n \rightarrow \psi_1 \vee \dots \vee \psi_m$

**Empty antecedent:**  $\psi_1 \vee \dots \vee \psi_m$

**Empty consequent:**  $\neg(\varphi_1 \wedge \dots \wedge \varphi_n)$

**Both empty:**  $\perp$

# NK<sub>0</sub> in sequent form

$\Gamma$  (context) is a set of formulas

$$\overline{\Gamma, \varphi \Rightarrow \varphi} \text{ Ax}$$

	Introduction	Elimination
$\wedge$	$\frac{\Gamma \Rightarrow \varphi \quad \Gamma \Rightarrow \psi}{\Gamma \Rightarrow \varphi \wedge \psi} \wedge \text{I}$	$\frac{\Gamma \Rightarrow \varphi \wedge \psi}{\Gamma \Rightarrow \varphi} \wedge \text{E}_1 \quad \frac{\Gamma \Rightarrow \varphi \wedge \psi}{\Gamma \Rightarrow \psi} \wedge \text{E}_2$
$\vee$	$\frac{\Gamma \Rightarrow \varphi}{\Gamma \Rightarrow \varphi \vee \psi} \vee \text{I}_1 \quad \frac{\Gamma \Rightarrow \psi}{\Gamma \Rightarrow \varphi \vee \psi} \vee \text{I}_2$	$\frac{\Gamma \Rightarrow \varphi \vee \psi \quad \Gamma, \varphi \Rightarrow \gamma \quad \Gamma, \psi \Rightarrow \gamma}{\Gamma \Rightarrow \gamma} \vee \text{E}$
$\rightarrow$	$\frac{\Gamma, \varphi \Rightarrow \psi}{\Gamma \Rightarrow \varphi \rightarrow \psi} \rightarrow \text{I}$	$\frac{\Gamma \Rightarrow \varphi \quad \Gamma \Rightarrow \varphi \rightarrow \psi}{\Gamma \Rightarrow \psi} \rightarrow \text{E}$
$\neg$	$\frac{\Gamma, \varphi \Rightarrow \perp}{\Gamma \Rightarrow \neg \varphi} \neg \text{I}$	$\frac{\Gamma \Rightarrow \varphi \quad \Gamma \Rightarrow \neg \varphi}{\Gamma \Rightarrow \psi} \neg \text{E}$
$\neg\neg$	$\frac{\Gamma \Rightarrow \varphi}{\Gamma \Rightarrow \neg\neg \varphi} \neg\neg \text{I}$	$\frac{\Gamma \Rightarrow \neg\neg \varphi}{\Gamma \Rightarrow \varphi} \neg\neg \text{E}$

## Deductions with *sequents*

Nodes of the derivation trees are *sequents* and  $\vdash \Gamma \Rightarrow \varphi$  means  $\Gamma \vdash \varphi$

$$\vdash \Rightarrow \varphi \rightarrow (\psi \rightarrow \varphi)$$

$$\frac{\frac{\varphi, \psi \Rightarrow \varphi}{\varphi \Rightarrow \psi \rightarrow \varphi} (\rightarrow \text{I})}{\Rightarrow \varphi \rightarrow (\psi \rightarrow \varphi)} (\rightarrow \text{I})$$

### Weakening

If  $\Gamma \Rightarrow \varphi$  is derivable, then for all  $\Gamma' \supseteq \Gamma$ ,  $\Gamma' \Rightarrow \varphi$  is derivable



# NK in sequent form: quantifier rules

$$\frac{\Gamma \Rightarrow \varphi[v/x]}{\Gamma \Rightarrow \forall x \varphi} \forall I \quad (a)$$

$$\frac{\Gamma \Rightarrow \forall x \varphi}{\Gamma \Rightarrow \varphi[t/x]} \forall E \quad (b)$$

$$\frac{\Gamma \Rightarrow \varphi[t/x]}{\Gamma \Rightarrow \exists x \varphi} \exists I \quad (b)$$

$$\frac{\Gamma \Rightarrow \exists x \varphi \quad \Gamma, \varphi[v/x] \Rightarrow \psi}{\Gamma \Rightarrow \psi} \exists E \quad (c)$$

(a) where  $v$  is a new variable, not in  $\Gamma$

(b) where  $x$  is free for  $t$  in  $\varphi$

(c) where  $v$  is a new variable, not in  $\Gamma$  and not in  $\psi$

## Gentzen *Sequent* calculi

Deductive systems introduced by Gentzen (1935) in order to obtain deduction normal forms.

Allows decidability algorithms without using completeness (semantics).

*Modus ponens*:

$$\frac{\varphi \quad \varphi \rightarrow \psi}{\psi}$$

given  $\psi$ ,  $\varphi$  can be any formula...

Although normalisation can be obtained for  $NK_0$ , these systems are more structured and reveal the structure of the deductions: are the base of other *analytic deduction systems* as resolution and *tableaux*.

Two types of **introduction** rules: in the antecedent (**L**) and in the consequent (**R**).

$$\overline{\Gamma, \varphi \Rightarrow \Delta, \varphi} \text{Ax}$$

$$\frac{\Gamma \Rightarrow \Delta, \varphi \quad \Gamma', \varphi \Rightarrow \Delta'}{\Gamma, \Gamma' \Rightarrow \Delta, \Delta'} \text{Cut}$$

$$\frac{\Gamma, \varphi, \psi \Rightarrow \Delta}{\Gamma, \varphi \wedge \psi \Rightarrow \Delta} \wedge \text{L}$$

$$\frac{\Gamma \Rightarrow \Delta, \varphi \quad \Gamma \Rightarrow \Delta, \psi}{\Gamma \Rightarrow \Delta, \varphi \wedge \psi} \wedge \text{R}$$

$$\frac{\Gamma, \varphi \Rightarrow \Delta \quad \Gamma, \psi \Rightarrow \Delta}{\Gamma, \varphi \vee \psi \Rightarrow \Delta} \vee \text{L}$$

$$\frac{\Gamma \Rightarrow \Delta, \varphi, \psi}{\Gamma \Rightarrow \Delta, \varphi \vee \psi} \vee \text{R}$$

$$\frac{\Gamma \Rightarrow \varphi, \Delta \quad \Gamma, \psi \Rightarrow \Delta}{\Gamma, \varphi \rightarrow \psi \Rightarrow \Delta} \rightarrow \text{L}$$

$$\frac{\Gamma, \varphi \Rightarrow \Delta, \psi}{\Gamma \Rightarrow \Delta, \varphi \rightarrow \psi} \rightarrow \text{R}$$

$$\frac{\Gamma \Rightarrow \Delta, \varphi}{\Gamma, \neg \varphi \Rightarrow \Delta} \neg \text{L}$$

$$\frac{\Gamma, \varphi \Rightarrow \Delta}{\Gamma \Rightarrow \Delta, \neg \varphi} \neg \text{R}$$

$$\vdash \Rightarrow ((p \rightarrow q) \rightarrow p) \rightarrow p$$

$$\frac{\frac{\frac{p \Rightarrow p, q}{\Rightarrow p, (p \rightarrow q)} (\rightarrow \text{R}) \quad p \Rightarrow p}{(p \rightarrow q) \rightarrow p} (\rightarrow \text{L})}{\Rightarrow ((p \rightarrow q) \rightarrow p) \rightarrow p} (\rightarrow \text{R})$$

$$\vdash \Rightarrow (p \rightarrow (p \rightarrow q)) \rightarrow (p \rightarrow q)$$

$$\frac{\frac{\frac{p \Rightarrow p, q \quad p \Rightarrow p, q \quad p, q \Rightarrow q (\rightarrow \text{L})}{(p \rightarrow q), p \Rightarrow q} (\rightarrow \text{L})}{p \rightarrow (p \rightarrow q), p \Rightarrow q} (\rightarrow \text{L})}{(p \rightarrow (p \rightarrow q)) \Rightarrow (p \rightarrow q)} (\rightarrow \text{R})}{\Rightarrow (p \rightarrow (p \rightarrow q)) \rightarrow (p \rightarrow q)} (\rightarrow \text{R})$$

## Rules

NK <sub>0</sub> (in sequents)	LK <sub>0</sub>
Axiom	Axiom
Introduction (◦I)	Introduction in the consequent (◦R)
Elimination (◦E)	Introduction in the antecedent (◦L)

## Subformula property

In a cut-free deduction of  $\Gamma \Rightarrow \Delta$ , all sequents are composed of subformulas of formulas in  $\Gamma, \Delta$  only

Then is possible to obtain an algorithm that searches a proof from the root

## Cut elimination

$$\frac{\Gamma \Rightarrow \Delta, \varphi \quad \Gamma', \varphi \Rightarrow \Delta'}{\Gamma, \Gamma' \Rightarrow \Delta, \Delta'} \text{Cut}$$

$\varphi$  cut formula

## Theorem

*(Hauptsatz) The deduction system LK<sub>0</sub>, without cut rule, is sound and complete.*

*There is an algorithm that takes a deduction in LK<sub>0</sub>, and turns it into a cut-free deduction of the same sequent.*

Why this rule?:

- allows shorter deductions
- isolates the redundancy of the deductions
- is easier to obtain certain theoretical results
- there are systems that recover part of its functionality...preserving a normal form (*Tableaux* KE)

# Idea of the proof

$$\frac{\begin{array}{c} \vdots \\ \Gamma, \varphi \Rightarrow \psi \end{array} (\rightarrow\mathbf{R}) \quad \frac{\begin{array}{c} \vdots \\ \Gamma \Rightarrow \varphi \end{array} \quad \begin{array}{c} \vdots \\ \Gamma, \psi \Rightarrow \gamma \end{array} (\rightarrow\mathbf{L})}{\Gamma, \varphi \rightarrow \psi \Rightarrow \gamma} \mathbf{Cut}}{\Gamma \Rightarrow \gamma} \mathbf{Cut}$$

Transformation:

$$\frac{\frac{\begin{array}{c} \vdots \\ \Gamma \Rightarrow \varphi \end{array} \quad \begin{array}{c} \vdots \\ \Gamma, \varphi \Rightarrow \psi \end{array} \mathbf{Cut}}{\Gamma \Rightarrow \psi} \mathbf{Cut} \quad \begin{array}{c} \vdots \\ \Gamma, \psi \Rightarrow \gamma \end{array} \mathbf{Cut}}{\Gamma \Rightarrow \gamma} \mathbf{Cut}$$

- Transform applications of the cut rule in others with simple cut formulas
- Cut rules applications in upper nodes of the derivation tree
- **Requires double induction:** in the depth of the cut rule applications and in the complexity of the cut formula.

## LK calculus: quantifier rules

$$\frac{\Gamma \Rightarrow \varphi[v/x], \Delta}{\Gamma \Rightarrow \forall x \varphi, \Delta} \forall\mathbf{R} \quad (\mathbf{a})$$

$$\frac{\Gamma, \varphi[t/x] \Rightarrow \Delta}{\Gamma, \forall x \varphi \Rightarrow \Delta} \forall\mathbf{L} \quad (\mathbf{b})$$

$$\frac{\Gamma \Rightarrow \varphi[t/x], \Delta}{\Gamma \Rightarrow \exists x \varphi, \Delta} \exists\mathbf{R} \quad (\mathbf{b})$$

$$\frac{\Gamma, \varphi[v/x] \Rightarrow \Delta}{\Gamma, \exists x \varphi \Rightarrow \Delta} \exists\mathbf{L} \quad (\mathbf{a})$$

(a) where  $v$  is a new variable, not in  $\Gamma, \Delta$

(b) where  $x$  is free for  $t$  in  $\varphi$

The semantics of classical logic is based on the notion of *truth*. Each proposition is **absolutely** true or false.

Principle of the excluded middle:  $p \vee \neg p$ .

But that gives not much information...

*There are two irrational numbers  $b$  and  $c$ , such that  $b^c$  is rational*

**Proof by case analysis:**

if  $\sqrt{2}^{\sqrt{2}}$  is a rational number then we can take  $b = c = \sqrt{2}$ ; otherwise take  $b = \sqrt{2}^{\sqrt{2}}$  and  $c = \sqrt{2}$ .

But which are these values?...The problem is that the proof is not *constructive*

## Intuitionism

- $\varphi$  is **true** if we can prove it.
- $\varphi$  is **false** if we can show that if we have a proof of  $\varphi$  we get a contradiction.

Proofs can be interpreted by sets and functions

### Informal constructive semantics of connective (*BHK*-interpretation)

- A construction of  $\varphi \wedge \psi$  consists of a construction of  $\varphi$  and a construction of  $\psi$  ( $\{(a, b) \mid a \in \varphi, b \in \psi\}$ )
- A construction of  $\varphi \vee \psi$  consists of a construction of  $\varphi$  or a construction of  $\psi$  ( $\{(0, a) \mid a \in \varphi\} \cup \{(1, b) \mid b \in \psi\}$ )
- A construction of  $\varphi \rightarrow \psi$  is a method (function) transforming every construction of  $\varphi$  into a construction of  $\psi$  ( $\{f \mid \forall a \in \varphi, f(a) \in \psi\}$ )
- A construction of  $\neg\varphi$  is a method transforming every construction of  $\varphi$  into a no existent object
- There is no construction of  $\perp$  ( $\emptyset$ )

## Show that

$p \rightarrow \neg\neg p$  (or  $p \rightarrow ((p \rightarrow \perp) \rightarrow \perp)$ ) is intuitionistically valid:

*Given a proof of  $p$ , we can obtain a proof of  $((p \rightarrow \perp) \rightarrow \perp)$ :  
Take a proof of  $(p \rightarrow \perp)$ . It is a method to transform proofs of  $p$  into proofs of  $\perp$ . Since we have a proof of  $p$ , we can obtain a proof of  $\perp$ .*

## But

$\neg\neg p \rightarrow p$  is not intuitionistically valid: the fact of not having a proof of  $\neg p$ , does not allow to conclude that we have a proof of  $p$ ...

In the same way,  $p \vee \neg p$  is not valid!... in general, is not possible to ensure that we have a proof of  $p$  or of  $\neg p$ .

Intuitionistic logic is obtained from classic logic by eliminating the rule  $\neg\neg E$  in the  $NK_0$  system...

## Structural rules for *sequents*

Allow the explicit manipulation of assumptions in a proof.

In a *sequent*  $\Gamma \Rightarrow \Delta$ ,  $\Gamma$  and  $\Delta$  are lists of formulas.

### Weakening

$$\frac{\Gamma \Rightarrow \Delta}{\varphi, \Gamma \Rightarrow \Delta} WL \qquad \frac{\Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta, \varphi} WR$$

### Contraction

$$\frac{\varphi, \varphi, \Gamma \Rightarrow \Delta}{\varphi, \Gamma \Rightarrow \Delta} CL \qquad \frac{\Gamma \Rightarrow \Delta, \varphi, \varphi}{\Gamma \Rightarrow \Delta, \varphi} CR$$

### Permutation

$$\frac{\Lambda, \varphi, \psi, \Gamma \Rightarrow \Delta}{\Lambda, \psi, \varphi, \Gamma \Rightarrow \Delta} XL \qquad \frac{\Gamma \Rightarrow \Delta, \varphi, \psi, \Lambda}{\Gamma \Rightarrow \Delta, \psi, \varphi, \Lambda} XR$$

# Intuitionistic Natural Deduction $NJ_0$

In intuitionistic logic, in a sequent  $\Gamma \Rightarrow \Delta$ ,  $\Delta$  has **at most** one formula.

$$\frac{}{\varphi \Rightarrow \varphi} \text{Ax}$$

$$\frac{\Gamma \Rightarrow \varphi'}{\Gamma, \varphi \Rightarrow \varphi'} W \quad \frac{\Gamma, \varphi, \varphi \Rightarrow \varphi'}{\Gamma, \varphi \Rightarrow \varphi'} C \quad \frac{\Gamma, \varphi, \psi, \Delta \Rightarrow \varphi'}{\Gamma, \psi, \varphi, \Delta \Rightarrow \varphi'} X$$

$$\frac{\Gamma \Rightarrow \varphi \quad \Delta \Rightarrow \psi}{\Gamma, \Delta \Rightarrow \varphi \wedge \psi} \wedge I$$

$$\frac{\Gamma \Rightarrow \varphi \wedge \psi}{\Gamma \Rightarrow \varphi} \wedge E_1$$

$$\frac{\Gamma \Rightarrow \varphi \wedge \psi}{\Gamma \Rightarrow \psi} \wedge E_2$$

$$\frac{\Gamma \Rightarrow \varphi}{\Gamma \Rightarrow \varphi \vee \psi} \vee I_1$$

$$\frac{\Gamma \Rightarrow \psi}{\Gamma \Rightarrow \varphi \vee \psi} \vee I_2$$

$$\frac{\Gamma \Rightarrow \varphi \vee \psi \quad \Delta, \varphi \Rightarrow \gamma \quad \Delta, \psi \Rightarrow \gamma}{\Gamma, \Delta \Rightarrow \gamma} \vee E$$

$$\frac{\Gamma, \varphi \Rightarrow \psi}{\Gamma \Rightarrow \varphi \rightarrow \psi} \rightarrow I$$

$$\frac{\Gamma \Rightarrow \varphi \quad \Delta \Rightarrow \varphi \rightarrow \psi}{\Gamma, \Delta \Rightarrow \psi} \rightarrow E$$

$$\frac{\Gamma \Rightarrow \perp}{\Gamma \Rightarrow \varphi} \perp E$$

# Intuitionistic Natural Deduction $NJ_0$

Negation can be defined by  $\neg\varphi = \varphi \rightarrow \perp$

$$\vdash_{NJ_0} \Rightarrow p \rightarrow \neg\neg p$$

$$\frac{\frac{\frac{p \Rightarrow p \quad p \rightarrow \perp \Rightarrow p \rightarrow \perp}{p, p \rightarrow \perp \Rightarrow \perp}}{p \Rightarrow (p \rightarrow \perp) \rightarrow \perp}}{\Rightarrow p \rightarrow ((p \rightarrow \perp) \rightarrow \perp)}$$

$$\not\vdash_{NJ_0} \Rightarrow \neg\neg p \rightarrow p \dots$$

de Morgan laws are not valid any more...

$\Rightarrow p \vee \neg p$  is not derivable

The last rule should be  $\vee I$ . Then  $\Rightarrow p$  or  $\Rightarrow \neg p$  are derivable.  $\Rightarrow p$  is not derivable. For  $\Rightarrow \neg p$ , i.e.,  $\Rightarrow p \rightarrow \perp$ , the last rule must be  $\rightarrow I$ , but no rule has a conclusion  $p \Rightarrow \perp$ .

# Intuitionistic *Sequent* Calculus, $LJ_0$

$$\frac{}{\varphi \Rightarrow \varphi} \text{Ax}$$

$$\frac{\Gamma \Rightarrow \varphi'}{\Gamma, \varphi \Rightarrow \varphi'} W \quad \frac{\Gamma, \varphi, \varphi \Rightarrow \varphi'}{\Gamma, \varphi \Rightarrow \varphi'} C \quad \frac{\Gamma, \varphi, \psi, \Delta \Rightarrow \varphi'}{\Gamma, \psi, \varphi, \Delta \Rightarrow \varphi'} X \quad \frac{\Gamma \Rightarrow \perp}{\Gamma \Rightarrow \varphi} \perp$$

$$\frac{\Gamma \Rightarrow \varphi \quad \Delta, \varphi \Rightarrow \varphi'}{\Gamma, \Delta \Rightarrow \varphi'} \text{Cut}$$

$$\frac{\Gamma, \varphi \Rightarrow \psi}{\Gamma, \varphi \wedge \varphi' \Rightarrow \psi} \wedge L_1 \quad \frac{\Gamma, \varphi' \Rightarrow \psi}{\Gamma, \varphi \wedge \varphi' \Rightarrow \psi} \wedge L_2 \quad \frac{\Gamma \Rightarrow \varphi \quad \Delta \Rightarrow \psi}{\Gamma, \Delta \Rightarrow \varphi \wedge \psi} \wedge R$$

$$\frac{\Gamma, \varphi \Rightarrow \psi \quad \Delta, \varphi' \Rightarrow \psi}{\Gamma, \Delta, \varphi \vee \varphi' \Rightarrow \psi} \vee L \quad \frac{\Gamma \Rightarrow \psi}{\Gamma \Rightarrow \varphi \vee \psi} \vee R_2 \quad \frac{\Gamma \Rightarrow \varphi}{\Gamma \Rightarrow \varphi \vee \psi} \vee R_1$$

$$\frac{\Gamma \Rightarrow \varphi \quad \Delta, \psi \Rightarrow \varphi'}{\Gamma, \Delta, \varphi \rightarrow \psi \Rightarrow \varphi'} \rightarrow L \quad \frac{\Gamma, \varphi \Rightarrow \psi}{\Gamma \Rightarrow \varphi \rightarrow \psi} \rightarrow R$$

$$\frac{\Gamma \Rightarrow \varphi}{\Gamma, \neg \varphi \Rightarrow \perp} \neg L \quad \frac{\Gamma, \varphi \Rightarrow \perp}{\Gamma \Rightarrow \neg \varphi} \neg R$$

# Intuitionistic *Sequent* Calculus, $LJ_0$

$$\frac{}{\neg p \Rightarrow \neg p} (\text{Ax})$$

$$\frac{}{\neg p, \neg \neg p \Rightarrow \perp} (\neg L)$$

$$\frac{}{\neg p \Rightarrow \neg \neg \neg p} (\neg R)$$

$$\frac{}{\Rightarrow \neg p \rightarrow \neg \neg \neg p} (\rightarrow R)$$

## Proposition

$\Gamma \vdash_{LJ_0} \varphi$  iff  $\Gamma \vdash_{NJ_0} \varphi$



# Idea of the proof

( $\Rightarrow$ )

In the mapping  $\mathcal{N}$  from proofs of  $LJ_0$  to proofs in  $NJ_0$ , a natural deduction  $NJ_0$  version of the cut rule is used:

$$\frac{\Gamma \Rightarrow \varphi \quad \Delta, \varphi \Rightarrow \varphi'}{\Gamma, \Delta \Rightarrow \varphi'} \text{Subs}$$

Which is a derivable extension:

$$\frac{\begin{array}{c} \vdots \\ \Gamma \Rightarrow \varphi \end{array} \quad \frac{\begin{array}{c} \vdots \\ \Delta, \varphi \Rightarrow \varphi' \end{array} \rightarrow I}{\Delta \Rightarrow \varphi \rightarrow \varphi'} \rightarrow I}{\Gamma, \Delta \Rightarrow \varphi'} \rightarrow E$$

# Idea of the proof

( $\wedge L_1$ )

$$\frac{\frac{\pi}{\Gamma, \varphi \Rightarrow \varphi'}}{\Gamma, \varphi \wedge \psi \Rightarrow \varphi'}$$

is mapped to:

$$\frac{\frac{\frac{\varphi \wedge \psi \Rightarrow \varphi \wedge \psi}{\varphi \wedge \psi \Rightarrow \varphi} (Ax) \quad \wedge E \quad \frac{N(\pi)}{\Gamma, \varphi \Rightarrow \varphi'} \text{Subs}}{\Gamma, \varphi \wedge \psi \Rightarrow \varphi'}}$$

( $\rightarrow L$ )

$$\frac{\frac{\pi}{\Gamma \Rightarrow \varphi} \quad \frac{\pi'}{\Delta, \psi \Rightarrow \varphi'}}{\Gamma, \Delta, \varphi \rightarrow \psi \Rightarrow \varphi'}$$

is mapped to :

$$\frac{\frac{\frac{\varphi \rightarrow \psi \Rightarrow \varphi \rightarrow \psi}{\Gamma, \varphi \rightarrow \psi \Rightarrow \psi} (Ax) \quad \frac{N(\pi)}{\Gamma \Rightarrow \varphi} \rightarrow E \quad \frac{N(\pi')}{\Delta, \psi \Rightarrow \varphi'} \text{Subs}}{\Gamma, \Delta, \varphi \rightarrow \psi \Rightarrow \varphi'}}$$

etc...

# Idea of the proof

- Cut-free deductions are transformed with the *Subs* rule
- For the right rules the cut rule is used...

...So this transformation do not preserve normal forms...

...But natural deductions can be **normalized** by elimination of consecutive applications of an introduction rule and an elimination rule for the same connective (this is also called a **cut**).

Applying these process the effect of the **Subs** rule can be eliminated...

## Normalization in $NJ_0$ (without *sequents*...)

$$\frac{\frac{[\varphi]^{(1)}}{\varphi \rightarrow \varphi^{(1)}} \quad \frac{[\psi]^{(2)}}{\psi \rightarrow \psi^{(2)}}}{\frac{(\varphi \rightarrow \varphi) \wedge (\psi \rightarrow \psi)}{\varphi \rightarrow \varphi}}$$

Simplifies to:

$$\frac{[\varphi]^{(1)}}{\varphi \rightarrow \varphi^{(1)}}$$

$$\frac{\frac{[\varphi]^{(3)}}{\varphi \rightarrow \varphi^{(3)}} \quad \frac{\frac{[\varphi \rightarrow \varphi]^{(1)}}{\psi \rightarrow \varphi \rightarrow \varphi^{(2)}}}{(\varphi \rightarrow \varphi) \rightarrow \psi \rightarrow \varphi \rightarrow \varphi}}{\psi \rightarrow \varphi \rightarrow \varphi}$$

Simplifies to:

$$\frac{[\varphi]^{(3)}}{\varphi \rightarrow \varphi^{(3)}} \quad \frac{}{\psi \rightarrow \varphi \rightarrow \varphi^{(2)}}$$

# Normalization rules for $(\rightarrow \mid e \mid \wedge \mid)$

$$\frac{\frac{\frac{\Sigma}{\psi} \quad \frac{\frac{[\psi]^{(i)}}{\Pi}}{\varphi}}{\psi \rightarrow \varphi^{(i)}}}{\varphi}}{\varphi} \Rightarrow \frac{\frac{\Sigma}{\psi}}{\Pi} \varphi$$

$$\frac{\frac{\frac{\Sigma}{\psi} \quad \frac{\Pi}{\varphi}}{\psi \wedge \varphi}}{\varphi} \Rightarrow \frac{\Sigma}{\varphi}$$

In the next session, compare with normalization in the  $\lambda$ -calculus...

## Semantics of intuitionistic logic

The deduction systems  $NJ_0$  and  $LJ_0$  are sound for classic truth valued semantics but they are not complete...

*[Gödel] There is no finite truth table semantics for intuitionistic logic that is sound and complete*

Boolean algebras can be modified for intuitionistic logic (Heyting algebras), by introducing the notion of partiality.

The same can be done with notion of *possible worlds*.

# Kripke semantics

## A Kripke frame $\mathcal{F}$

is a structure  $(X, \leq, \models)$  where:

- $(X, \leq)$  is a partial order
- $\models$  is a binary relation in  $X \times \mathcal{V}_{Prop}$  such that for all  $x, y \in X$ , if  $x \models p$  and  $x \leq y$  then  $y \models p$ .

## Semantics

If  $x \models p$ ,  $p$  is **forced** at  $x$ .

$\models$  extends to the set of formulae:

$x \models \varphi \wedge \psi$  iff  $x \models \varphi$  and  $x \models \psi$

$x \models \varphi \vee \psi$  iff  $x \models \varphi$  or  $x \models \psi$

$x \models \varphi \rightarrow \psi$  iff  $\forall y, x \leq y, y \models \varphi$  then  $y \models \psi$

$x \models \neg\varphi$  iff  $\forall y, x \leq y, y \not\models \varphi$

$x \not\models \perp, \forall x$

# Kripke semantics

$x \models \Gamma \Rightarrow \psi$  iff  $(\forall \varphi \in \Gamma, x \models \varphi)$  then  $x \models \psi$ .

A formula  $\varphi$  is **forced** in  $\mathcal{F}$  if every  $x \in X$  forces  $\varphi$

$\varphi$  is **intuitionistically valid** if it is forced in every frame  $\mathcal{F}$

## Theorem (Monotonicity)

If  $x \models \varphi$  and  $x \leq y$  then  $y \models \varphi$

## Proposition

$x \models \varphi \rightarrow \perp$  iff  $x \models \neg\varphi$

## Proposition

$x \models \neg\neg\varphi$  iff  $\forall y, x \leq y, \exists u, y \leq u$  such that  $u \models \varphi$

# Examples

- $\varphi \rightarrow \varphi$  is intuitionistically valid  
 $x \models \varphi \rightarrow \varphi$  iff  $\forall y, x \leq y, y \models \varphi$  then  $y \models \varphi$
- $\varphi \rightarrow \neg\neg\varphi$  is intuitionistically valid  
 $x \models \varphi \rightarrow \neg\neg\varphi$  iff  $\forall y, x \leq y, y \models \varphi$  then  $y \models \neg\neg\varphi$ . And  $y \models \neg\neg\varphi$  if  $\forall u, y \leq u, \exists v, u \leq v$  and  $v \models \varphi$ . The result follows by transitivity of  $\leq$  and monotonicity.
- $\neg\neg\varphi \rightarrow \varphi$  is not intuitionistically valid  
 $x \models \neg\neg\varphi \rightarrow \varphi$ , iff  $\forall y, x \leq y, y \models \neg\neg\varphi$  then  $y \models \varphi$ . I.e.,  $\forall u, y \leq u, \exists v, u \leq v, v \models \varphi$  implies that  $y \models \varphi$ . For instance, take  $(\{0, 00\}, (0 \leq 00), \models)$  with  $00 \models p$ .
- $p \vee \neg p$  is not intuitionistically valid (use the same frame as above).

## Kripke semantics

### Theorem (Soundness)

*If  $\Gamma \Rightarrow \varphi$  is derivable in  $NJ_0$  then  $\Gamma \Rightarrow \varphi$  is intuitionistically valid.*

### Theorem (Completeness)

*If  $\Gamma \Rightarrow \varphi$  is intuitionistically valid, then  $\vdash_{NJ_0} \Gamma \Rightarrow \varphi$ .*

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