# Introduction to process algebra and the $\mu$ -calculus

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## From LTS to processes

- We already have a semantic model for reactive systems. With which language shall we describe them?
- How to compare and transform such systems?
- How to express and prove their proprieties?

→ process languages and calculi cf. CCS (Milner, 80), CSP (Hoare, 85), ACP (Bergstra & Klop, 82),  $\pi$ -calculus (Milner, 89), among many others

→ modal (temporal, hybrid) logics

## mCRL2: A toolset for process algebra

mCRL2 provides:

- a generic process algebra, based on ACP (Bergstra & Klop, 82), in which other calculi can be embedded
- extended with data and (real) time
- the full  $\mu$ -calculus as a specification logic
- powerful toolset for simulation and verification of reactive systems

www.mcrl2.org

## Actions

#### Interaction through multisets of actions

• A multiaction is an elementary unit of interaction that can execute itself atomically in time (no duration), after which it terminates successfully

$$\alpha \quad \ni \quad \tau \mid \mathbf{a}(\mathbf{d}) \mid (\alpha \mid \alpha)$$

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- actions may be parametric on data
- the structure  $\langle N, |, \tau \rangle$  forms an Abelian monoid

## Sequential processes

#### Sequential, non deterministic behaviour

The set  $\mathbb{P}$  of processes is the set of all terms generated by the following BNF, for  $a \in N$ ,

#### $p \ni \alpha \mid \delta \mid p + p \mid p \cdot p \mid \mathsf{P}(d)$

- atomic process: a for all  $a \in N$
- choice: +
- sequential composition: •
- inaction or deadlock:  $\delta$
- process references introduced through definitions of the form P(x : D) = p, parametric on data

#### Example

#### Buffers

- act in, out, t; inn, outt : Bool;
- proc Buffer1 = in.out;

Buffer2 = in.out.Buffer2;

Buffer3 = in.(out.Buffer3 + t.Buffer3);

Buffer4 = sum n: Bool.inn(n).outt(n).Buffer4;

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## Sequential Processes

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#### Exercise

Describe the behaviour of

- a.b.δ.c + a
- (*a* + *b*).δ.*c*
- $(a+b).e+\delta.c$
- $a + (\delta + a)$
- a.(b+c).d.(b+c)

## Parallel composition

#### $\| =$ interleaving + synchronization

- modelling principle: interaction is the key element in software design
- modelling principle: (distributed, reactive) architectures are configurations of communicating black boxes
- mCRL2: supports a flexible synchronization discipline

$$p ::= \cdots \mid p \parallel p \mid p \mid p \mid p \parallel p$$

## Parallel composition

- parallel p || q: interleaves and synchronises the actions of both processes.
- synchronisation p | q: synchronises the first actions of p and q and combines the remainder of p with q with ||, cf axiom:

$$(a.p) \mid (b.q) \sim (a \mid b) . (p \parallel q)$$

• left merge  $p \parallel q$ : executes a first action of p and thereafter combines the remainder of p with q with  $\parallel$ .

## Parallel composition

#### A semantic parentesis

Lemma: There is no sound and complete finite axiomatisation for this process algebra with || modulo bisimilarity [F. Moller, 1990].

Solution: combine two auxiliar operators:

- left merge:
- synchronous product: |

such that

$$p \parallel t \sim (p \parallel t + t \parallel p) + p \mid t$$

#### Interaction

## Communication $\Gamma_C(p)$ (com)

• applies a communication function *C* forcing action synchronization and renaming to a new action:

 $a_1 \mid \cdots \mid a_n \rightarrow c$ 

• data parameters are retained in action c, e.g.

$$\begin{split} & \Gamma_{\{a|b\to c\}}(a(8) \mid b(8)) = c(8) \\ & \Gamma_{\{a|b\to c\}}(a(12) \mid b(8)) = a(12) \mid b(8) \\ & \Gamma_{\{a|b\to c\}}(a(8) \mid a(12) \mid b(8)) = a(12) \mid c(8) \end{split}$$

• left hand-sides in C must be disjoint: e.g.,  $\{a \mid b \rightarrow c, a \mid d \rightarrow j\}$  is not allowed

#### Restriction: $\nabla_B(p)$ (allow)

- specifies which multiactions from a non-empty multiset of action names are allowed to occur
- disregards the data parameters of the multiactions

 $\nabla_{\{d,a|b\}}(d(12) + a(8) + (b(false, 4) \mid a)) = d(12) + (b(false, 4) \mid a)$ 

• au is always allowed to occur

## Block: $\partial_B(p)$ (block)

- specifies which multiactions from a set of action names are not allowed to occur
- disregards the data parameters of the multiactions

$$\partial_{\{b\}}(d(12) + a(8) + (b(false, 4) | a)) = d(12) + a(8)$$

 τ cannot be blocked

## Renaming $\rho_M(p)$ (rename)

- renames actions in p according to a mapping M
- also disregards the data parameters, but when a renaming is applied the data parameters are retained:

$$\partial_{\{d \to h\}}(d(12) + s(8) \mid d(false) + d.a.d(7))$$
  
=  $h(12) + s(8) \mid h(false) + h.a.h(7)$ 

•  $\tau$  cannot be renamed

## Hiding $\tau_H(p)$ (hide)

- hides (or renames to τ) all actions with an action name in H in all multiactions of p. renames actions in p according to a mapping M
- disregards the data parameters

$$\tau_{\{d\}}(d(12) + s(8) \mid d(false) + h.a.d(7)) \\ = \tau + s(8) \mid \tau + h.a.\tau = \tau + s(8) + h.a.\tau$$

• au cannot be renamed

#### Example

#### New buffers from old

- act inn,outt,ia,ib,oa,ob,c : Bool;
- proc BufferS = sum n: Bool.inn(n).outt(n).BufferS;

```
BufferA = rename({inn -> ia, outt -> oa}, BufferS);
BufferB = rename({inn -> ib, outt -> ob}, BufferS);
```

S = allow({ia,ob,c}, comm({oa|ib -> c}, BufferA || BufferB));

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init hide({c}, S);

#### Exercise

#### Composing buffers with acknowledges

```
act inn, outt, r, t, ia, ib, oa, ob, ta, tb, ra, rb, c, a;
```

proc BufferS = inn.outt.r.t.BufferS;

init hide({c,a}, S);

#### Exercise

#### Composing buffers with acknowledges (corrected)

```
act inn, outt, r, t, ia, ib, oa, ob, ta, tb, ra, rb, c, a;
```

```
proc BufferS = inn.t.outt.r.BufferS;
```

```
BufferA =
    rename({inn -> ia, outt -> oa, r -> ra, t -> ta}, BufferS);
BufferB =
    rename({inn -> ib, outt -> ob, r -> rb, t -> tb}, BufferS);
S = allow({ia,ob,rb,ta,c,a},
```

```
comm({oa|ib -> c, ra|tb -> a}, BufferA || BufferB));
```

init hide({c,a}, S);

## Data types

- Equalities: equality, inequality, conditional (if(-,-,-))
- Basic types: booleans, naturals, reals, integers, ... with the usual operators
- Sets, multisets, sequences ... with the usual operators
- Function definition, including the  $\lambda$ -notation
- Inductive types: as in

sort BTree = struct leaf(Pos) | node(BTree, BTree)

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#### Signatures and definitions

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Sorts, functions, constants, variables ...

sort S, A; cons s,t:S, b:set(A); map f: S x S -> A; c: A; var x:S; eqn f(x,s) = s;

#### Signatures and definitions

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A full functional language ...

- sort BTree = struct leaf(Pos) | node(BTree, BTree);
- map flatten: BTree -> List(Pos);
- var n:Pos, t,r:BTree;
- eqn flatten(leaf(n)) = [n];
  flatten(node(t,r)) = t++r;

## Processes with data

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#### Why?

- Precise modeling of real-life systems
- Data allows for finite specifications of infinite systems

#### How?

- data and processes parametrized
- summation over data types:  $\sum_{n:N} s(n)$
- processes conditional on data: b → p ◊ q

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#### Examples

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#### A counter

act up, down; setcounter:Pos;

init Ctr(345);

#### **Examples**

#### A dynamic binary tree

- act left,right;
- map N:Pos;
- eqn N = 512;
- proc X(n:Pos)=(n<=N)->(left.X(2\*n)+right.X(2\*n+1))<>delta;

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init X(1);

## Motivation

System's correctness wrt a specification

- equivalence checking (between two designs), through  $\sim$  and =
- unsuitable to check properties such as

can the system perform action a followed by b?

which are best answered by exploring the process state space

Which logic?

A modal logic with the ability to express enduring (temporal) properties

## Motivation

#### The taxi network example

•  $\phi_0 = \ln a \text{ taxi network}$ , a car can collect a passenger or be allocated by the Central to a pending service

- $\phi_1 =$  This applies only to cars already on service
- φ<sub>2</sub> = If a car is allocated to a service, it must first collect the passenger and then plan the route
- $\phi_3 = On$  detecting an emergence the taxi becomes inactive
- $\phi_4 = A$  car on service is not inactive

## Motivation

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#### The taxi network example

- $\phi_0 = \langle rec, alo \rangle$ true
- $\phi_1 = [onservice]\langle rec, alo \rangle$ true or  $\phi_1 = [onservice]\phi_0$
- $\phi_2 = [alo]\langle rec \rangle \langle plan \rangle$ true
- $\phi_3 = [sos][true]false$
- $\phi_4 = [onservice] \langle true \rangle true$

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#### ... in mCRL2

#### The verification problem in mCRL2

- Given a specification of the system's behaviour is in mCRL2
- and the system's requirements are specified as properties in a temporal logic,
- a model checking algorithm decides whether the property holds for the model: the property can be verified or refuted;

• sometimes, witnesses or counter-examples can be provided

## Modal logic

- Modalities:  $\langle 
  angle \phi$ ,  $[-]\psi$
- Valuations in non modal logics are based on valuations
   V : Variables → 2: propositions are true or false depending on the unique referential provided by V
- Valuations in a modal logic also depends on the current state of computation: V : Variables × ℙ → 2 or, equivalently, , V : Variables → 𝒫ℙ: each variable is associated to the set of processes in which its value is fixed as true

• ... but the topic modal logics has a longer story and a broad spectrum of applications ...

## The Hennessy-Milner logic

#### Syntax

 $\phi \ \ni \ \mathsf{true} \ | \ \mathsf{false} \ | \ \neg \phi \ | \ \phi \land \phi \ | \ \phi \lor \phi \ | \ \langle \alpha \rangle \phi \ | \ [\alpha] \phi$ 

where  $\alpha$  is an action formula

Compare with dynamic logic

Can you spot the difference?

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#### The Hennessy-Milner logic

#### Some laws

 $\neg \langle a \rangle \phi = [a] \neg \phi$   $\neg [a] \phi = \langle a \rangle \neg \phi$   $\langle a \rangle false = false$  [a] true = true  $\langle a \rangle (\phi \lor \psi) = \langle a \rangle \phi \lor \langle a \rangle \psi$   $[a] (\phi \land \psi) = [a] \phi \land [a] \psi$  $\langle a \rangle \phi \land [a] \psi \Rightarrow \langle a \rangle (\phi \land \psi)$ 

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## The Hennessy-Milner logic

Action formulas

$$\alpha \ni (\mathbf{a}_1 \mid \dots \mid \mathbf{a}_n) \mid \text{true} \mid \text{false} \mid -\alpha \mid \alpha \cup \alpha \mid \alpha \cap \alpha$$

where

- $a_1 \mid \cdots \mid a_n$  is a set with this single multiaction
- true (universe), false (empty set)
- $-\alpha$  is the set complement

Modalities with action formulas:

$$\langle \alpha \rangle \phi = \bigvee_{\mathbf{a} \in \alpha} \langle \mathbf{a} \rangle \phi \qquad [\alpha] \phi = \bigwedge_{\mathbf{a} \in \alpha} [\mathbf{a}] \phi$$

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#### The language

#### Semantics: $E \models \phi$

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#### Notes

- inevitability of *a*:  $\langle true \rangle true \land [-a]$  false
- progress: (true)true
- deadlock or termination: [true]false
- what about

 $\langle true \rangle$  false and [true]true ?

 satisfaction decided by unfolding the definition of =: no need to compute the transition graph

## A denotational semantics

Idea: associate to each formula  $\phi$  the set of processes that make it true

```
\phi \text{ vs } \|\phi\| = \{E \in \mathbb{P} \mid E \models \phi\}
```

```
\|\mathsf{true}\| = \mathbb{P}\|\mathsf{false}\| = \emptyset\|\phi \land \psi\| = \|\phi\| \cap \|\psi\|\|\phi \lor \psi\| = \|\phi\| \cup \|\psi\|
```

 $\|[\alpha]\phi\| = \|[\alpha]\|(\|\phi\|)$  $\|\langle \alpha \rangle \phi\| = \|\langle \alpha \rangle\|(\|\phi\|)$ 

## $\|[\alpha]\|$ and $\|\langle \alpha \rangle\|$

Just as  $\land$  corresponds to  $\cap$  and  $\lor$  to  $\cup$ , modal logic combinators correspond to unary functions on sets of processes:

$$\|[\alpha]\| = \lambda_{X \subseteq \mathbb{P}} \cdot \{F \in \mathbb{P} \mid \text{if } F \xrightarrow{a} F' \land a \in \alpha \text{ then } F' \in X\}$$

$$\|\langle \alpha \rangle\| = \lambda_{X \subseteq \mathbb{P}} \cdot \{F \in \mathbb{P} \mid \exists_{F' \in X, a \in \alpha} \cdot F \xrightarrow{a} F'\}$$

#### Note

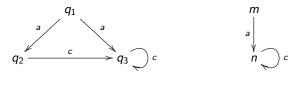
These combinators perform a reduction to the previous state indexed by actions in  $\boldsymbol{\alpha}$ 

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 $\|[\alpha]\|$  and  $\|\langle \alpha \rangle\|$ 

#### Example



$$\|\langle a \rangle \| \{q_2, n\} = \{q_1, m\} \\ \|[a]\| \{q_2, n\} = \{q_2, q_3, m, n\}$$

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### A denotational semantics

$$E \models \phi$$
 iff  $E \in \|\phi\|$ 

### Example: $\delta \models [true]$ false

because

$$\begin{split} \|[\mathsf{true}]\mathsf{false}\| &= \|[\mathsf{true}]\|(\|\mathsf{false}\|) \\ &= \|[\mathsf{true}]\|(\emptyset) \\ &= \{F \in \mathbb{P} \mid \mathsf{if} \ F \xrightarrow{x} F' \ \land \ x \in \mathsf{Act} \ \mathsf{then} \ F' \in \emptyset\} \\ &= \{\delta\} \end{split}$$

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### A denotational semantics

$$E \models \phi$$
 iff  $E \in \|\phi\|$ 

### Example: ?? $\models \langle true \rangle true$

because

$$\begin{split} \|\langle \mathsf{true} \rangle \mathsf{true} \| &= \|\langle \mathsf{true} \rangle \| (\| \mathsf{true} \|) \\ &= \|\langle \mathsf{true} \rangle \| (\mathbb{P}) \\ &= \{ F \in \mathbb{P} \mid \exists_{F' \in \mathbb{P}, a \in K} : F \xrightarrow{a} F' \} \\ &= \mathbb{P} \setminus \{ \delta \} \end{split}$$

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#### For each (finite or infinite) set $\Gamma$ of formulae,

$$E \simeq_{\Gamma} F \quad \Leftrightarrow \quad \forall_{\phi \in \Gamma} \; . \; E \models \phi \Leftrightarrow F \models \phi$$

#### Examples

$$a.b + a.c \simeq_{\Gamma} a.(b + c)$$
  
for  $\Gamma = \{ \langle x_1 \rangle \langle x_2 \rangle ... \langle x_n \rangle$ true  $| x_i \in Act \}$ 

(what about  $\simeq_{\Gamma}$  for  $\Gamma = \{\langle x_1 \rangle \langle x_2 \rangle \langle x_3 \rangle ... \langle x_n \rangle [true] false \mid x_i \in Act\}$ ?)

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For each (finite or infinite) set  $\Gamma$  of formulae,

 $E \simeq F \quad \Leftrightarrow \quad E \simeq_{\Gamma} F$  for every set  $\Gamma$  of well-formed formulae

Lemma

 $E \sim F \Rightarrow E \simeq F$ 

#### Note

the converse of this lemma does not hold, e.g. let

•  $A \triangleq \sum_{i \ge 0} A_i$ , where  $A_0 \triangleq \mathbf{0}$  and  $A_{i+1} \triangleq a.A_i$ 

• 
$$A' \triangleq A + \underline{fix} (X = a.X)$$

$$A \not\sim A'$$
 but  $A \simeq A'$ 

#### Theorem [Hennessy-Milner, 1985]

#### $E \sim F \Leftrightarrow E \simeq F$

for image-finite processes.

Image-finite processes *E* is image-finite iff  $\{F \mid F \xrightarrow{a} E\}$  is finite for every action  $a \in Act$ 

### Theorem [Hennessy-Milner, 1985]

 $E \sim F \Leftrightarrow E \simeq F$ 

for image-finite processes.

#### proof

- $\Rightarrow$  : by induction of the formula structure
- $\Leftarrow$  : show that  $\simeq$  is itself a bisimulation, by contradiction

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# **Regular modalities**

Hennessy-Milner logic + regular expressions ie, add with regular expressions within modalities

$$\rho ::= \epsilon \mid \alpha \mid \rho.\rho \mid \rho + \rho \mid \rho^* \mid \rho^+$$

where

- $\alpha$  is an action formula and  $\epsilon$  is the empty word
- concatenation  $\rho.\rho$ , choice  $\rho + \rho$  and closures  $\rho^*$  and  $\rho^+$

Laws

$$\begin{aligned} \langle \rho_1 + \rho_2 \rangle \phi &= \langle \rho_1 \rangle \phi \lor \langle \rho_2 \rangle \phi \\ [\rho_1 + \rho_2] \phi &= [\rho_1] \phi \land [\rho_2] \phi \\ \langle \rho_1 . \rho_2 \rangle \phi &= \langle \rho_1 \rangle \langle \rho_2 \rangle \phi \\ [\rho_1 . \rho_2] \phi &= [\rho_1] [\rho_2] \phi \end{aligned}$$

# **Regular modalities**

### Examples of properties

- $\bullet \ \langle \epsilon \rangle \phi \ = \ [\epsilon] \phi \ = \ \phi$
- $\langle a.a.b \rangle \phi = \langle a \rangle \langle a \rangle \langle b \rangle \phi$
- $\langle a.b + g.d \rangle \phi$

Safety

- $[true^*]\phi$
- it is impossible to do two consecutive enter actions without a leave action in between:

[true\*.enter. – leave\*.enter]false

 absence of deadlock: [true\*](true)true

## **Regular modalities**

Examples of properties

Liveness

- $\langle {\rm true}^* \rangle \phi$
- after sending a message, it can eventually be received: [send](true\*.receive)true
- after a send a receive is possible as long as it has not happened: [send. - receive\*](true\*.receive)true

### The modal $\mu$ -calculus

 $\phi, \psi ::= \mathsf{X} \mid \mathsf{true} \mid \mathsf{false} \mid \neg \phi \mid \phi \land \psi \mid \phi \lor \psi \mid \phi \Rightarrow \psi \mid \langle \mathsf{a} \rangle \phi \mid [\mathsf{a}] \phi \mid \mu \mathsf{X} \cdot \phi \mid \nu \mathsf{X} \cdot \phi$ 

- modalities with regular expressions are not enough in general
- in particular cannot express fairness properties:

if the system is offered the possibility to perform *a* infinitely often, then it will eventually perform *a* 

• ... but correspond to a subset of the modal  $\mu$ -calculus [Kozen83]

## The modal $\mu$ -calculus

#### The modal $\mu$ -calculus (intuition)

- $\mu X \cdot \phi$  is valid for all those states in the smallest set X that satisfies the equation  $X = \phi$  (finite paths, liveness)
- $\nu X \cdot \phi$  is valid for the states in the largest set X that satisfies the equation  $X = \phi$  (infinite paths, safety)

#### Warning

In order to be sure that a fixed point exists, X must occur positively in the formula, ie preceded by an even number of negations.

### Examples

#### Translation of regular formulas with closure

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# Examples

### The dining philosophers problem

 No deadlock (every philosopher holds a left fork and waits for a right fork (or vice versa):

#### [true\*]<true>true

• No starvation (a philosopher cannot acquire 2 forks):

forall p:Phil. [true\*.!eat(p)\*] <!eat(p)\*.eat(p)>true

• A philosopher can only eat for a finite consecutive amount of time:

forall p:Phil. nu X. mu Y. [eat(p)]Y && [!eat(p)]X

 there is no starvation: for all reachable states it should be possible to eventually perform an eat(p) for each possible value of p:Phil.

[true\*](forall p:Phil. mu Y. ([!eat(p)]Y && <true>true))

# Semantics

Add explicit minimal/maximal fixed point operators to Hennessy-Milner logic

cf the Knaster-Tarski theorem (1928)

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Laws

$$\mu X . \phi \Rightarrow \nu X . \phi$$

and self-duals:

$$\neg \mu X \cdot \phi = \nu X \cdot \neg \phi$$
  
$$\neg \nu X \cdot \phi = \mu X \cdot \neg \phi$$

# A denotational semantics

 $\rho: X \longrightarrow \mathcal{PP}$ : predicate environment

$$\|\operatorname{true}\|_{\rho} = \mathbb{P}$$
$$\|\operatorname{false}\|_{\rho} = \emptyset$$
$$\|X\|_{\rho} = \rho(X)$$
$$\|\phi \land \psi\|_{\rho} = \|\phi\|_{\rho} \cap \|\psi\|_{\rho}$$
$$\|\phi \lor \psi\|_{\rho} = \|\phi\|_{\rho} \cup \|\psi\|_{\rho}$$
$$\|[\alpha]\phi\|_{\rho} = \|[\alpha]\|(\|\phi\|_{\rho})$$
$$\|\langle \alpha \rangle \phi\|_{\rho} = \|\langle \alpha \rangle\|(\|\phi\|_{\rho})$$

$$\|\mu X \cdot \phi\|_{\rho} = \bigcap \{ V \in \mathbb{P} \mid \|\phi\|_{\rho\{X \mapsto V\}} \subseteq V \}$$
$$\|\nu X \cdot \phi\|_{\rho} = \bigcup \{ V \in \mathbb{P} \mid V \subseteq \|\phi\|_{\rho\{X \mapsto V\}} \}$$

computing by Kleene approximation

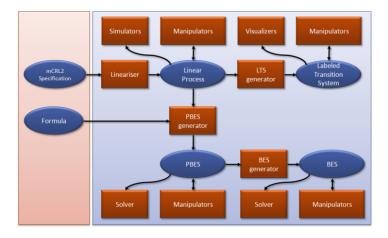
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# Overview

Strategies to deal with infinite models and specifications

- A specification of the system's behaviour is written in mCRL2 (x.mcrl2)
- The specification is converted to a stricter format called Linear Process Specification (x.lps)
- In this format the specification can be transformed and simulated
- In particular a Labelled Transition System (x.lts) can be generated, simulated and analysed through symbolic model checking (boolean equation solvers)

### Architecture



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