

Process-oriented architectural design

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Process-oriented architectural design

The 'rationale'

- components in an architecture are **computational entities** accessible through **published interfaces** which **interact** in a 'continuous' way according to specific **protocols**
- both **interfaces** and **protocols** are specifications of intended **behaviour**
- labelled transition systems provide an operational model for **behaviour**
- inside which one may **reason** equationally (**bisimilarity**) and inequationally (**simulation**)
- both **components** and the **glue code** that keeps them together can be viewed and described **uniformly**

Process-oriented architectural design

What is missing to bring this 'rationale' into practice?

- a **language** to describe LTS
- **combinators** to compose LTS
- a **calculus** to reason about and transform behaviours, **sound** and **complete** wrt suitable LTS equivalences (e.g., bisimilarity)
- a specific **logic** to specify LTS **properties** and corresponding **proof techniques**
- an ADL in which interfaces and iteration protocols are described in a process algebra, which furthermore provides a **precise semantics** to the architectural description and possibly some **tool support**

Process-oriented architectural design

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Process-oriented architectural design

What is missing to bring this 'rationale' into practice?

processes a **language** to describe LTS

composition **combinators** to compose LTS

process algebra a **calculus** to reason about and transform behaviours,
sound and **complete** wrt suitable LTS equivalences
(e.g., bisimilarity)

modal logic a specific **logic** to specify LTS **properties** and
corresponding **proof techniques**

spec language an ADL in which interfaces and iteration protocols are
described in a process algebra, which furthermore provides
a **precise semantics** to the architectural description and
possibly some **tool support**

Process-oriented architectural design

- process algebras provided the first **formal semantics** to (at least some components of) several ADLs
- **examples**: WRIGHT, DARWIN, ACME, AADL (behaviour annex), PADL, ...
- resort to popular process algebras:
CSP, CCS, ACP, π -calculus, ...

Reference

Alessandro Aldini, Marco Bernardo, Flavio Corradini.

A Process Algebraic Approach to Software Architecture Design

Springer-Verlag, 2010.

Roadmap

Process algebra (via mCRL2)

- Sequential processes
- Deadlock & termination (LTS revisited)
- Interaction
- Abstraction from internal activity (LTS revisited)
- Processes with data

A process-oriented ADL

An introduction to ARCHERY (by [Alejandro Sanchez](#))

`www.unsl.edu.ar/~asanchez/index.php?page=archery`

Actions & processes

Interaction through multisets of actions

- A **multiaction** is an elementary unit of interaction that can **execute itself atomically in time** (no duration), after which it terminates successfully

$$\alpha ::= \tau \mid a(d) \mid \alpha \mid \alpha$$

- actions may be parametric on **data**
- the structure $\langle \mathcal{N}, |, \tau \rangle$ forms an Abelian **monoid**
- τ is the empty action, which contains no actions and as such cannot be observed

Actions & processes

Process

is a description of how the interaction capacities of a system evolve, i.e., its **behaviour**
for example,

$$E \triangleq a.b + a.E$$

- **analogy**: regular expressions vs finite automata

The framework

Process

... abstract representation of a system's **behaviour**

Algebra

... a **mathematical structure** satisfying a particular set of **axioms**

Process Algebra

... a framework for the specification and manipulation of process terms as induced by a collection of operator symbols, encompassing an operational and an axiomatic theory (sound and complete wrt bisimilarity)

Sequential processes

Sequential, non deterministic behaviour

The set \mathbb{P} of **processes** is the set of all terms generated by the following BNF, for $a \in \mathcal{N}$,

$$p ::= \alpha \mid \delta \mid p + p \mid p \cdot p \mid P(d)$$

- **atomic process**: a for all $a \in \mathcal{N}$
- **choice**: $+$
- **sequential composition**: \cdot
- **inaction or deadlock**: δ
- **process references** introduced through definitions of the form $P(x : D) = p$, parametric on **data**

Sequential Processes

Exercise

Describe the behaviour of

- $a.b.\delta.c + a$
- $(a + b).\delta.c$
- $(a + b).e + \delta.c$
- $a + (\delta + a)$
- $a.(b + c).d.(b + c)$

Sequential processes

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Axioms: $+$, \cdot , δ

$$A1 \quad x + y = y + x$$

$$A2 \quad (x + y) + z = x + (y + z)$$

$$A3 \quad x + x = x$$

$$A4 \quad (x + y).z = x.z + y.z$$

$$A5 \quad (x.y).z = x.(y.z)$$

$$A6 \quad x + \delta = x$$

$$A7 \quad \delta \cdot x = 0$$

- the equality relation is **sound**: if $s = t$ holds for basic process terms, then $s \sim t$
- and **complete**: if $s \sim t$ holds for basic process terms, then $s = t$
- an axiomatic theory enables **equational reasoning**

Axioms: $+$, \cdot , δ

$$\begin{array}{lll}
 A1 & x + y & = y + x \\
 A2 & (x + y) + z & = x + (y + z) \\
 A3 & x + x & = x \\
 A4 & (x + y).z & = x.z + y.z \\
 A5 & (x.y).z & = x.(y.z) \\
 A6 & x + \delta & = x \\
 A7 & \delta \cdot x & = 0
 \end{array}$$

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- an axiomatic theory enables **equational reasoning**

Axioms: $+$, \cdot , δ

Exercise

- show that $\delta.(a + b) = \delta \cdot a + \delta \cdot b$
- show that $a + (\delta + a) = a$
- is it true that $a.(b + c) = a.b + a.c$?

mCRL2: A toolset for process algebra

mCRL2 provides:

- a generic **process algebra**, based on ACP (Bergstra & Klop, 82), in which other calculi can be **embedded**
- extended with **data** and (real) **time**
- the full **μ -calculus** as a specification logic
- powerful toolset for **simulation** and **verification** of reactive systems

www.mcrl2.org

mCRL2: A toolset for process algebra

Example

```
act    order, receive, keep, refund, return;

proc   Buy = order.OrderedItem

      OrderedItem = receive.ReceivedItem + refund.Buy;
      ReceivedItem = return.OrderedItem + keep;

init   Buy;
```

Deadlock & Termination

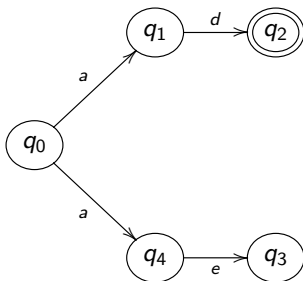
Deadlock state

a reachable state that does not terminate and has no outgoing transitions.

Termination

add a predicate $\downarrow s$ to the definition of a LTS

Termination vs deadlock



Trace equivalence

Trace (from language theory)

A word $\sigma \in \mathcal{N}^*$ is a **trace** of a state $s \in S$ iff there is another state $t \in S$ such that $s \xrightarrow{\sigma}^* t$

Trace (using \checkmark to witness final states)

$\text{Tr}(s)$, the set of traces of state s , is the minimal set including

$$\epsilon \in \text{Tr}(s)$$

$$\checkmark \in \text{Tr}(s) \text{ if } \downarrow s$$

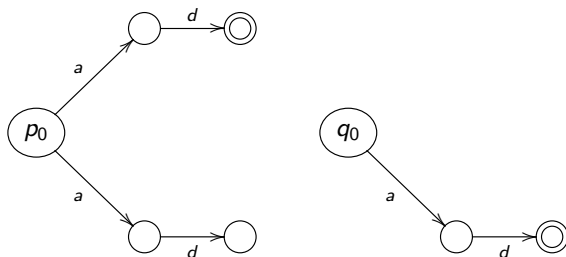
$$a\sigma \in \text{Tr}(s) \text{ if } \exists t \cdot s \xrightarrow{a} t \wedge \sigma \in \text{Tr}(t)$$

Trace equivalence

Two states are **trace equivalent** if $\text{Tr}(s) = \text{Tr}(s')$

Trace equivalence

In any case, fails to preserve deadlock



although preserving sequencing

e.g. before every c an a action b must be done

Language equivalence

Language (from language theory)

A word $\sigma \in \mathcal{N}^*$ is a **run** (or a complete trace) of a state $s \in S$ iff there is another state $t \in S$, such that $s \xrightarrow{\sigma}^* t$ and $\downarrow t$. The language recognized by a state $s \in S$ is the **set of runs** of s

Language (using \checkmark to witness final states)

$\text{Lang}(s)$, the language recognized by a state s , is the minimal set including

$\epsilon \in \text{Lang}(s)$ if s is a deadlock state

$\checkmark \in \text{Lang}(s)$ if $\downarrow s$

$a\sigma \in \text{Lang}(s)$ if $\exists t \cdot s \xrightarrow{a} t \wedge \sigma \in \text{Lang}(t)$

Language equivalence

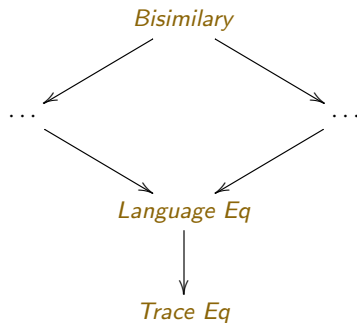
Two states are **language equivalent** if $\text{Lang}(s) = \text{Lang}(s')$, i.e., if both recognize the same language.

... need more general models and theories:

- **Several interaction points**
- Need to distinguish **normal from anomolous termination**
- **Non determinisim** should be taken seriously: the notion of **equivalence** based on accepted language is **blind** wrt non determinism
- Moreover: the **reactive** character of systems entail that not only the generated language is important, but also **the states traversed during an execution of the automata.**

Notes

The Van Glabbeek linear - branching time spectrum



... collapses for **deterministic** transition systems: **why?**

Parallel composition

\parallel = interleaving + synchronization

- **modelling principle:** **interaction** is the key element in software design
- **modelling principle:** (distributed, reactive) **architectures** are configurations of communicating black boxes

$$p ::= \dots \mid p \parallel p \mid p \mid p \mid p \underline{\parallel} p$$

Parallel composition

- **parallel** $p \parallel q$: interleaves and synchronises the actions of both processes.
- **synchronisation** $p \mid q$: synchronises the first actions of p and q and combines the remainder of p with q with \parallel , cf axiom:

$$(a.p) \mid (b.q) \sim (a \mid b).(p \parallel q)$$

- Processes of the form $a \mid a \mid \dots \mid a$ are called **multiactions**

Parallel composition

A semantic parenthesis

Lemma: There is no sound and complete finite axiomatisation for this process algebra with \parallel modulo bisimilarity [F. Moller, 1990].

Solution: combine two auxiliary operators:

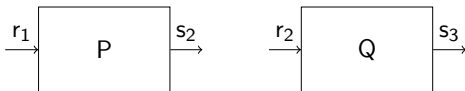
- **left merge:** \ll (executes a first action of p)
- **synchronous product:** $|$

such that

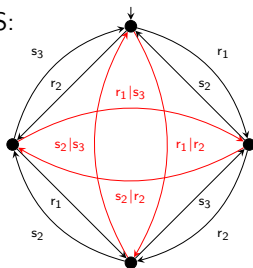
$$p \parallel t \sim (p \ll t + t \ll p) + p | t$$

Parallel composition

Example $P \parallel Q$



Corresponding LTS:



Interaction

Communication $\Gamma_C(p)$ (com)

- applies a **communication function** C forcing action synchronization and renaming to a new action:

$$a_1 \mid \cdots \mid a_n \rightarrow c$$

- data parameters are retained in action c , e.g.

$$\Gamma_{\{a|b \rightarrow c\}}(a(8) \mid b(8)) = c(8)$$

$$\Gamma_{\{a|b \rightarrow c\}}(a(12) \mid b(8)) = a(12) \mid b(8)$$

$$\Gamma_{\{a|b \rightarrow c\}}(a(8) \mid a(12) \mid b(8)) = a(12) \mid c(8)$$

- left hand-sides in C must be disjoint: e.g., $\{a \mid b \rightarrow c, a \mid d \rightarrow j\}$ is not allowed

Interface control

Restriction: $\nabla_B(p)$ (**allow**)

- specifies which multiactions from a non-empty multiset of action names are allowed to occur
- disregards the data parameters of the multiactions

$$\nabla_{\{d,a|b\}}(d(12) + a(8) + (b(\text{false}, 4) \mid c)) = d(12) + (b(\text{false}, 4) \mid c)$$

- τ is always allowed to occur

Interface control

Block: $\partial_B(p)$ (**block**)

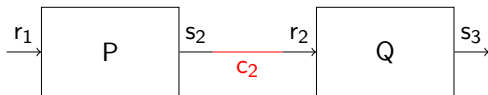
- specifies which multiactions from a set of action names are not allowed to occur
- disregards the data parameters of the multiactions

$$\partial_{\{b\}}(d(12) + a(8) + (b(\text{false}, 4) \mid c)) = d(12) + a(8)$$

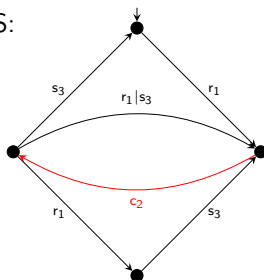
- the effect is that of renaming to δ
- τ cannot be blocked

Interaction

Example $\partial_{r_2, s_2}((\Gamma_{\{s_2|r_2 \rightarrow c_2\}}(P \parallel Q)))$



Corresponding LTS:



Interaction

Enforce communication

- $\nabla_{\{c\}}(\Gamma_{\{a|b \rightarrow c\}}(p))$
- $\partial_{\{a,b\}}(\Gamma_{\{a|b \rightarrow c\}}(p))$

Interface control

Renaming $\rho_M(p)$ (rename)

- renames actions in p according to a mapping M
- also disregards the data parameters, but when a renaming is applied the data parameters are retained:

$$\begin{aligned} \partial_{\{d \rightarrow h\}}(d(12) + s(8) \mid d(\text{false}) + d.a.d(7)) \\ = h(12) + s(8) \mid h(\text{false}) + h.a.h(7) \end{aligned}$$

- τ cannot be renamed

Interface control

Hiding $\tau_H(p)$ (**hide**)

- hides (or renames to τ) all actions with an action name in H in all multiactions of p . renames actions in p according to a mapping M
- disregards the data parameters

$$\begin{aligned} \tau_{\{d\}}(d(12) + s(8) \mid d(\text{false}) + h.a.d(7)) \\ = \tau + s(8) \mid \tau + h.a.\tau = \tau + s(8) + h.a.\tau \end{aligned}$$

- τ and δ cannot be renamed
- what is the LTS of $\tau_{\{t_2\}}(\partial_{r_2, s_2}(\Gamma_{\{s_2 \mid r_2 \rightarrow c_2\}}(P \parallel Q)))$?

Example

New buffers from old

```
act   inn, outt, ia, ib, oa, ob, c : Bool;

proc  BufferS = sum n: Bool.inn(n).outt(n).BufferS;

      BufferA = rename({inn -> ia, outt -> oa}, BufferS);
      BufferB = rename({inn -> ib, outt -> ob}, BufferS);

      S = allow({ia, ob}, comm({oa|ib -> c}, BufferA || BufferB));

init  hide({c}, S);
```

Abstraction

Main idea:

Take a set of actions as **internal** or **non-observable**

Approaches

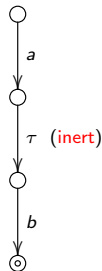
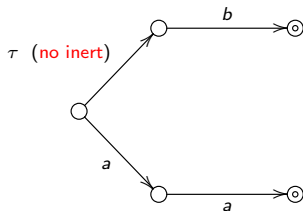
- R. Milner's **weak bisimulation** [Mil80]
- Van Glabbeek and Weijland's **branching bisimulation** [GW96]

Internal actions

τ abstracts internal activity

inert τ : internal activity is undetectable by observation

non inert τ : internal activity is indirectly visible



Internal actions

Adding τ to the set of actions has a number of **consequences**

- only external actions are observable
- the effects of an internal action can only be observed if it determines a choice
- entails the need of a **weaker notion of bisimulation** to relate e.g.

$$p.q \text{ and } p.(\tau + \tau.\tau).q$$

Branching bisimulation

- Intuition similar to that of strong bisimulation: But now, instead of letting a single action be simulated by a single action, an action can be simulated by a **sequence of internal transitions, followed by that single action**.
- An internal action τ can be simulated by any number of internal transitions (even by none).
- If a state can terminate, it does not need to be related to a terminating state: it suffices that a terminating state can be reached after a number of internal transitions.

Branching bisimulation

Definition

Given $\langle S_1, \mathcal{N}, \downarrow_\infty, \longrightarrow_\infty \rangle$ and $\langle S_2, \mathcal{N}, \downarrow_\epsilon, \longrightarrow_\epsilon \rangle$ over \mathcal{N} , relation $R \subseteq S_1 \times S_2$ is a **branching bisimulation** iff for all $\langle p, q \rangle \in R$ and $a \in \mathcal{N}$,

1. If $p \xrightarrow{a}_1 p'$, then
 - either $a = \tau$ and $p' R q$
 - or, there is a sequence $q \xrightarrow{\tau}_2 \cdots \xrightarrow{\tau}_2 q'$ of (zero or more) τ -transitions such that $p R q'$ and $q' \xrightarrow{a}_2 q''$ with $p' R q''$.
 2. If $p \downarrow_1$, then there is a sequence $q \xrightarrow{\tau}_2 \cdots \xrightarrow{\tau}_2 q'$ of (zero or more) τ -transitions such that $p R q'$ and $q' \downarrow_2$.
- 1', 2'. symmetrically ...

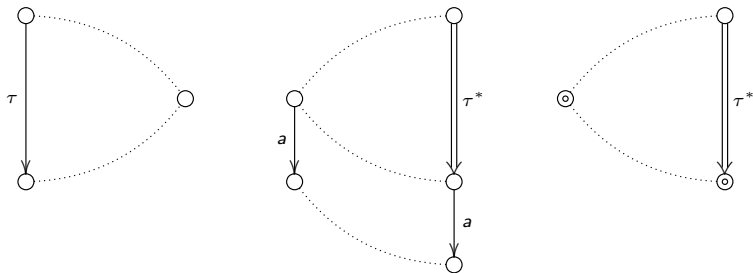
Branching bisimilarity

Definition

$$p \approx_b q \Leftrightarrow \langle \exists R :: R \text{ is a branching bisimulation and } \langle p, q \rangle \in R \rangle$$

Branching bisimulation

... preserves the branching structure



Branching bisimilarity

... does not preserve τ -loops



satisfying a notion of **fairness**: if a τ -loop exists, then no infinite execution sequence will remain in it forever if there is a possibility to leave

Branching bisimilarity

Problem

If an alternative is added to the initial state then transition systems that were branching bisimilar may cease to be so.

Example: add a b -labelled branch to the initial states of



Rooted branching bisimilarity

Strategy

Impose a **rootedness condition** [R. Milner, 80]:

Initial τ -transitions can never be inert, i.e., two states are equivalent if they can simulate each other's initial transitions, such that the resulting states are branching bisimilar.

Rooted branching bisimulation

Definition

Given $\langle S_1, \mathcal{N}, \downarrow_\infty, \longrightarrow_\infty \rangle$ and $\langle S_2, \mathcal{N}, \downarrow_\infty, \longrightarrow_\infty \rangle$ over \mathcal{N} , relation $R \subseteq S_1 \times S_2$ is a **rooted branching bisimulation** iff

1. it is a **branching bisimulation**
2. for all $\langle p, q \rangle \in R$ and $a \in \mathcal{N}$,
 - If $p \xrightarrow{a}_1 p'$, then there is a $q' \in S_2$ such that $q \xrightarrow{a}_2 q'$ and $p' \approx q'$
 - If $q \xrightarrow{a}_2 q'$, then there is a $p' \in S_1$ such that $p \xrightarrow{a}_1 p'$ and $p' \approx q'$

Rooted branching bisimilarity

Definition

$p \approx_{rb} q \Leftrightarrow \langle \exists R :: R \text{ is a rooted branching bisimulation and } \langle p, q \rangle \in R \rangle$

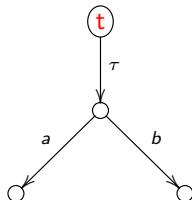
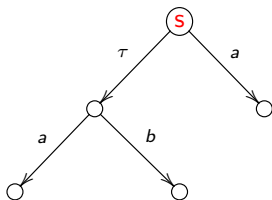
Lemma

$$\sim \subseteq \approx_{rb} \subseteq \approx_b$$

Of course, in the absence of τ actions, \sim and \approx_b coincide.

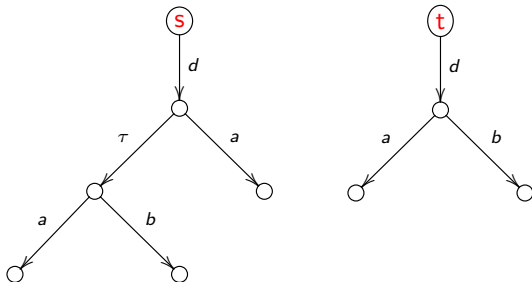
Example

branching but not rooted



Example

rooted branching bisimilar



Weak bisimulation

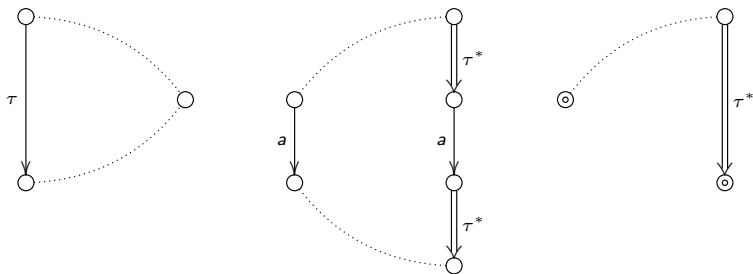
Definition [Milner,80]

Given $\langle S_1, \mathcal{N}, \downarrow_\infty, \longrightarrow_\infty \rangle$ and $\langle S_2, \mathcal{N}, \downarrow_\epsilon, \longrightarrow_\epsilon \rangle$ over \mathcal{N} , relation $R \subseteq S_1 \times S_2$ is a **weak bisimulation** iff for all $\langle p, q \rangle \in R$ and $a \in \mathcal{N}$,

1. If $p \xrightarrow{a}_1 p'$, then
 - either $a = \tau$ and $p' R q$
 - or, there is a sequence $q \xrightarrow{\tau}_2 \cdots \xrightarrow{\tau}_2 t \xrightarrow{a}_2 t' \xrightarrow{\tau}_2 \cdots \xrightarrow{\tau}_2 q'$ involving zero or more τ -transitions, such that $p' R q'$.
 2. If $p \downarrow_1$, then there is a sequence $q \xrightarrow{\tau}_2 \cdots \xrightarrow{\tau}_2 q'$ of (zero or more) τ -transitions such that $q' \downarrow_2$.
- 1'., 2'. symmetrically ...

Weak bisimulation

... does not preserve the branching structure



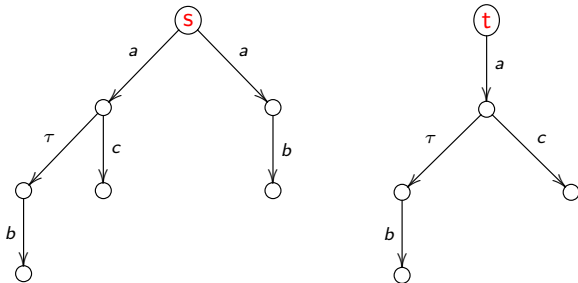
Weak bisimilarity

Definition

$$p \approx_w q \Leftrightarrow \langle \exists R :: R \text{ is a branching bisimulation and } \langle p, q \rangle \in R \rangle$$

Example

weak but not branching



Rooted weak bisimulation

Definition

Given $\langle S_1, \mathcal{N}, \downarrow_\infty, \longrightarrow_\infty \rangle$ and $\langle S_2, \mathcal{N}, \downarrow_\epsilon, \longrightarrow_\epsilon \rangle$ over \mathcal{N} , relation $R \subseteq S_1 \times S_2$ is a **rooted weak bisimulation** iff for all $\langle p, q \rangle \in R$ and $a \in \mathcal{N}$,

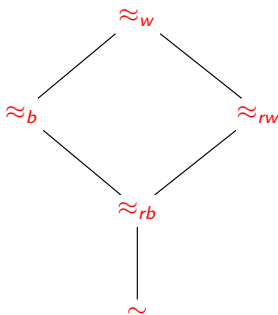
- If $p \xrightarrow{\tau}_1 p'$, then there is a non empty sequence of τ such that $q \xrightarrow{\tau}_2 \xrightarrow{\tau}_2 \dots \xrightarrow{\tau}_2 \xrightarrow{\tau}_2 q'$ and $p' \approx_w q'$
- Symmetrically ...

Rooted weak bisimilarity

Definition

$$p \approx_{rw} q \Leftrightarrow \langle \exists R :: R \text{ is a rooted weak bisimulation and } \langle p, q \rangle \in R \rangle$$

Lemma



(ordered by \subseteq)

Axioms: $+$, \cdot , δ , τ

A1	$x + y$	$= y + x$
A2	$(x + y) + z$	$= x + (y + z)$
A3	$x + x$	$= x$
A4	$(x + y).z$	$= x.z + y.z$
A5	$(x.y).z$	$= x.(y.z)$
A6	$x + \delta$	$= x$
A7	$\delta \cdot x$	$= 0$
A8	$x.\tau$	$= x$
A9	$x.(\tau.(y + z) + y)$	$= x.(y + z)$

- extra axioms are valid wrt **branching bisimilarity**

Data types

- **Equalities**: equality, inequality, conditional (`if(-,-,-)`)
- **Basic types**: booleans, naturals, reals, integers, ... with the usual operators
- **Sets, multisets, sequences** ... with the usual operators
- **Function definition**, including the λ -notation
- **Inductive types**: as in

```
sort   BTree = struct leaf(Pos) | node(BTree, BTree)
```

Signatures and definitions

Sorts, functions, constants, variables ...

```
sort  S, A;
```

```
cons  s,t:S, b:set(A);
```

```
map   f:  S x S -> A;  
      c:  A;
```

```
var   x:S;
```

```
eqn   f(x,s) = s;
```

Signatures and definitions

A full functional language ...

```
sort   BTree = struct leaf(Pos) | node(BTree, BTree);
```

```
map    flatten: BTree -> List(Pos);
```

```
var    n:Pos, t,r:BTree;
```

```
eqn    flatten(leaf(n)) = [n];  
        flatten(node(t,r)) = t++r;
```

Processes with data

Why?

- Precise modeling of real-life systems
- Data allows for finite specifications of infinite systems

How?

- data and processes parametrized
- summation over data types: $\sum_{n:N} s(n)$
- processes conditional on data: $b \rightarrow p \diamond q$

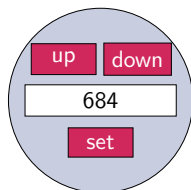
Examples

A counter

```
act    up, down;  
      setcounter:Pos;
```

```
proc  Ctr(x:Pos) = up.Ctr(x+1)  
      + (x>0) -> down.Ctr(x-1)  
      + sum m:Pos.(setcounter(m).Ctr(m))
```

```
init  Ctr(345);
```



Examples

A prime checker

```
map  primes : Set(N);
eqn  primes = {n : N |  $\forall p, q \in \mathbb{N} \ p, q > 1 \Rightarrow (p * q) \neq n$ };
act  yes, no;
      ask:N;

proc  Checker =  $\sum_n \text{ask}(n).(n \in \text{primes} \rightarrow \text{yes} \diamond \text{no}).\text{Checker}$ 

init  Checker
```

Examples

A dynamic binary tree

```
act    left,right;

map    N:Pos;

eqn    N = 512;

proc   X(n:Pos)=(n<=N)->(left.X(2*n)+right.X(2*n+1))<>delta;

init   X(1);
```