A brief Introduction to Category Theory

Dirk Hofmann

CIDMA, Department of Mathematics, University of Aveiro, 3810-193 Aveiro, Portugal Office: 11.3.10, dirk@ua.pt, http://sweet.ua.pt/dirk/

October 9, 2017

John Hughes (1989). "Why functional programming matters". In: *The Computer Journal* **32**.(2), pp. 98–107

Modular design is the key to successful programming

... The ways in which one can divide up the original problem depend directly on the ways in which one can glue solutions together. Therefore, to increase ones ability to modularise a problem conceptually, one must provide new kinds of glue in the programming language.

• • •

Now let us return to functional programming. We shall argue in the remainder of this paper that functional languages provide two new, very important kinds of glue.

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Saunders MacLane

The basic insight is that a mathematical structure is a scientific structure but one which has many different empirical realizations. Mathematics provides common overreaching forms, each of which can and does serve to describe different aspects of the external world. Thus mathematics is that part of science which applies in more than one empirical context.

Saunders MacLane (1997). "Despite physicist, proof is essential in mathematics". In: *Synthese* **111**.(2), pp. 147–154.

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- Numbers: 3 = 3 vs. $e^{i\omega} = \cos(\omega) + i\sin(\omega)$.
- Spaces: $V \simeq V$ vs. $V \simeq \mathbb{R}^n$ (for dim V = n).
- More general: "linear maps = matrices".

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Bill Lawvere

"The kinds of structures which actually arise in the practice of geometry and analysis are far from being 'arbitrary' ..., as concentrated in the thesis that *fundamental* structures are themselves categories."

Sammy Eilenberg (1913 – 1998) and Saunders MacLane (1909 – 2005)





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- Started in the 1940's in their work about algebraic topology.
- Is by now present in (almost) all areas of mathematics and also extensively used in physics and in computer science.

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• for every object there is an identity arrow $1_X \colon X \to X$.

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- the composite of linear maps is linear, ...
- The identity map is linear,

Every field of mathematics defines (at least) one category

Top, Grp, $\text{Vec}_{\mathrm{fin}}\text{,}$

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Some typical categorical notions

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Equivalence $\textbf{X} \sim \textbf{Y}$ of categories

When are two categories "equal"?

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• A functor $F : \mathbf{X} \longrightarrow \mathbf{Y}$:

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so that $F(g \cdot f) = Fg \cdot Ff$ and $F1_X = 1_{FX}$.
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• Natural isomorphisms $\eta_X \colon X \to GFX$ and $\varepsilon_Y \colon FGY \to Y \ldots$

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Adjunction

As above but the arrows $\eta_X : X \to GFX$ and $\varepsilon_Y : FGY \to Y$ need not be isomorphisms . . .

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Let $F, G: \mathbf{X} \longrightarrow \mathbf{Y}$ be functors. An natural transformation α is a family $(\alpha_X: FX \rightarrow GX)_X$ which commutes with arrows in \mathbf{X} .

An elementary example

Linear algebra via matrices

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 - $G: \operatorname{Vec}_{\operatorname{fin}} \longrightarrow \operatorname{Mat},$ $(f: V \to W) \longmapsto (\operatorname{matrix} \operatorname{of} f: \dim V \to \dim W).$ Requires choosing a base for every space.

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- Corollary. $\text{Vec}_{\mathrm{fin}} \sim \text{Vec}_{\mathrm{fin}}^{\mathrm{op}}.$

A brief Introduction to Category Theory Aula 2

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Then: Functor means monoid homomorphism.

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- (split mono & epi) \implies iso \iff (split epi & mono).

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- split mono \implies mono (and split epi \implies epi).
- (split mono & epi) \implies iso \iff (split epi & mono).
- Every functor preserves split monos/split epis/isos.

Some constructions in categories

• terminal object

- terminal object
- product

- terminal object
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- initial object
- sum

- terminal object
- product
- pullback

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Definition

A category X is called (co)complete whenever X has all (co)limits.

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Some facts

• Limit = terminal object in some category (uniqueness!!).

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- X is complete \iff X has products and equalisers.

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 initial object 	
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- limit

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- mono vs. pullback.

•	initial	object	

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Example

For every category X, $\textbf{X}(-,-)\colon \textbf{X}^{\mathrm{op}}\times\textbf{X}\to\textbf{Set}$ is a functor.

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- For $F: \mathbf{Vec} \to \mathbf{Set}: F \simeq \mathbf{Vec}(\mathbb{R}, -).$

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• Representable functors preserve limits.

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- Representable functors preserve limits.
- Representable functors preserve monos.

The slogan is "Adjoint functors arise everywhere".^a

^aSaunders MacLane (1998). *Categories for the working mathematician*. 2nd ed. New York: Springer-Verlag, pp. ix + 262.

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Definition

Let $G: \mathbf{B} \to \mathbf{A}$ and $F: \mathbf{B} \to \mathbf{A}$ be functors. Then F is left adjoint to G, or G is right adjoint to F, written as $F \dashv G$, whenever

$$\mathbf{B}(FA,B)\simeq\mathbf{A}(A,GB),\qquad(f\longmapsto\overline{f})$$

naturally in A and B. An adjunction between F and G is a choice of such a natural isomorphism.

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- 1. For every $h: A' \to A: \overline{f \cdot Fh} = \overline{f} \cdot h$.
- 2. For every $k \colon B \to B' \colon \overline{k \cdot f} = Gk \cdot \overline{f}$.

Adjunction via (co)units

Theorem

Let $G : \mathbf{B} \to \mathbf{A}$ and $F : \mathbf{B} \to \mathbf{A}$ be functors. There is a bijective correspondence between

- 1. Adjunctions $F \dashv G$.
- 2. Natural transformations $\eta \colon 1 \to \mathsf{GF}$ and $\varepsilon \colon \mathsf{FG} \to 1$ so that



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 $F \dashv G$ and $F' \dashv G$ implies $F \simeq F'$.

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Corollary

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Remark

A category **C** has limits of type *I* if and only if $\Delta : \mathbf{C} \to \mathbf{C}'$ has a right adjoint.

Adjunctions via initial objects

Theorem

A functor $G: \mathbf{B} \to \mathbf{A}$ is right adjoint if and only if the category $(A \Rightarrow G)$ has an initial object.

Theorem (General Adjoint Functor Theorem)

Let $G: \mathbf{B} \to \mathbf{A}$ be a functor so that $(A \Rightarrow G)$ has a weak initial set. Then

G is right adjoint if and only if G preserves limits.

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Lemma

Let ${\bf C}$ be a complete category with a weak initial set ${\cal S}.$ Then ${\bf C}$ has an initial object.
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G is right adjoint if and only if G preserves limits.

The proof is based on the following lemmas.

Lemma

Let C be a complete category with a weak initial set S. Then C has an initial object.

Lemma

Let $G: \mathbf{B} \to \mathbf{A}$ be a limit-preserving functor and assume that \mathbf{B} is complete. Then $(A \Rightarrow G)$ is complete.