# Formalizing Single-assignment Program Verification: an Adaptation-complete Approach

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#### Context

#### **Program Verification**

- Establishing the correctness of software w.r.t. specifications
- Deductive verification achieves this by using program logics, relying on user-provided contracts and loop invariants

#### Trends in modern program verifiers

- Intermediate language tailored for verification
- Single-assignment (SA) form
- Verification condition generator + SMT solver

# Gap between program verifiers and theory



# Hoare Logic

Introduces the notion of Hoare triple

$$\{\phi\} \ C \{\psi\}$$

 Triples are interpreted based on the standard semantics of the programming language

$$\models \{\phi\} \ \textit{C} \ \{\psi\}$$

 A proof system for reasoning about program correctness system H - sound and (relatively) complete

$$\vdash_{\mathsf{H}} \{\phi\} \ \mathit{C} \ \{\psi\}$$



### Adaptation-completeness

If 
$$\models \{n \ge 0 \land n_{aux} = n\}$$
 Fact  $\{f = n_{aux}!\}$   
then  $\models \{n = 2\}$  Fact  $\{f = 2!\}$ 

$$\{n \ge 0 \land n_{aux} = n\} \operatorname{\mathsf{Fact}} \{f = n_{aux}!\}$$
 
$$\vdots$$
 
$$\{2 = n\} \operatorname{\mathsf{Fact}} \{f = 2!\}$$



#### Adaptation-completeness

If 
$$\models \{n \ge 0 \land n_{aux} = n\}$$
 Fact  $\{f = n_{aux}!\}$   
then  $\models \{n = 2\}$  Fact  $\{f = 2!\}$ 

Thus one expects the following derivation to be possible

$$\{n \ge 0 \land n_{aux} = n\} \operatorname{Fact} \{f = n_{aux}!\}$$

$$\vdots$$

$$\{\overline{2 = n} \operatorname{Fact} \{f = 2!\} \}$$

If this is always the case the system is called adaptation-complete



# Hoare logic is not adaptation-complete

#### The consequence rule of Hoare logic

$$\frac{\{\phi\} C \{\psi\}}{\{\phi'\} C \{\psi'\}} \text{ if } \begin{array}{c} \phi' \to \phi \\ \psi \to \psi' \end{array} \text{ and }$$

#### cannot be applied here:

$$\frac{\{n \geq 0 \land n_{aux} = n\} \operatorname{\mathsf{Fact}} \{f = n_{aux}!\}}{\{n = 2\} \operatorname{\mathsf{Fact}} \{f = 2!\}} \quad \text{if} \quad \begin{aligned} n &= 2 \rightarrow n \geq 0 \land n_{aux} = n \\ f &= n_{aux}! \rightarrow f = 2! \end{aligned} \quad \text{and} \quad \end{aligned}$$

$$(\mathsf{conseq}_{\mathcal{K}}) \qquad \frac{\{\phi\} \ C \ \{\psi\}}{\{\phi'\} \ C \ \{\psi'\}} \qquad \text{if} \quad \forall Z. \forall \sigma. \llbracket \phi' \rrbracket (Z, \sigma) \rightarrow \\ \forall \tau. (\forall Z_1. \llbracket \phi \rrbracket (Z_1, \sigma) \rightarrow \llbracket \psi \rrbracket (Z_1, \tau)) \\ \rightarrow \llbracket \psi' \rrbracket (Z, \tau)$$



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In 1998, Kleymann proposed an adaptation-complete inference system for Hoare Logic

$$(\mathsf{conseq}_{\mathcal{K}}) \qquad \frac{\{\phi\} \ C \{\psi\}}{\{\phi'\} \ C \{\psi'\}} \qquad \text{if} \quad \forall Z. \forall \sigma. \llbracket \phi' \rrbracket (Z, \sigma) \rightarrow \\ \forall \tau. (\forall Z_1. \llbracket \phi \rrbracket (Z_1, \sigma) \rightarrow \llbracket \psi \rrbracket (Z_1, \tau)) \\ \rightarrow \llbracket \psi' \rrbracket (Z, \tau)$$



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cannot be applied here:

$$\frac{\{n \geq 0 \land n_{\mathsf{aux}} = n\} \operatorname{\mathsf{Fact}} \{f = n_{\mathsf{aux}}!\}}{\{n = 2\} \operatorname{\mathsf{Fact}} \{f = 2!\}} \quad \text{if} \quad \begin{aligned} n &= 2 \to n \geq 0 \land n_{\mathsf{aux}} = n \quad \text{and} \\ f &= n_{\mathsf{aux}}! \to f = 2! \end{aligned}$$

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# Dijkstra's predicate transformers

Commonly used in the generation of verification conditions

The weakest precondition of a program w.r.t. a postcondition is given by the function wp, where:

$$\operatorname{wp}(\operatorname{\mathbf{skip}},\psi) = \psi$$

$$\operatorname{wp}(x := e, \psi) = \psi[e/x]$$

$$\operatorname{wp}(C_1; C_2, \psi) = \operatorname{wp}(C_1, \operatorname{wp}(C_2, \psi))$$

$$\operatorname{wp}(\operatorname{\mathbf{if}} b \operatorname{\mathbf{then}} C_t \operatorname{\mathbf{else}} C_f, \psi) = (b \to \operatorname{wp}(C_t, \psi)) \land (\neg b \to \operatorname{wp}(C_f, \psi))$$

...



# Let $C_n$ be the program:

```
if (b_1) then skip else skip;
if (b_n) then skip else skip;
```

3. 
$$\operatorname{wp}(C_3, \psi) = (b_3 \to (b_2 \to (b_1 \to \psi) \land (\neg b_1 \to \psi)) \land (\neg b_2 \to (b_1 \to \psi) \land (\neg b_1 \to \psi))) \land (\neg b_3 \to (b_2 \to (b_1 \to \psi) \land (\neg b_1 \to \psi)) \land (\neg b_2 \to (b_1 \to \psi) \land (\neg b_1 \to \psi))) \land (\neg b_2 \to (b_1 \to \psi) \land (\neg b_1 \to \psi)))$$



### Let $C_n$ be the program:

```
if (b_1) then skip else skip:
if (b_n) then skip else skip;
```

#### The generated weakest preconditions are as follows:

- 1.  $\operatorname{wp}(C_1, \psi) = (b_1 \to \psi) \wedge (\neg b_1 \to \psi)$

3. 
$$\operatorname{wp}(C_3, \psi) = (b_3 \rightarrow (b_2 \rightarrow (b_1 \rightarrow \psi) \land (\neg b_1 \rightarrow \psi)) \land (\neg b_2 \rightarrow (b_1 \rightarrow \psi) \land (\neg b_1 \rightarrow \psi))) \land (\neg b_3 \rightarrow (b_2 \rightarrow (b_1 \rightarrow \psi) \land (\neg b_1 \rightarrow \psi))) \land (\neg b_2 \rightarrow (b_1 \rightarrow \psi) \land (\neg b_1 \rightarrow \psi)))$$



#### Let $C_n$ be the program:

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if (b_1) then skip else skip:
if (b_n) then skip else skip;
```

# The generated weakest preconditions are as follows:

- 1.  $\operatorname{wp}(C_1, \psi) = (b_1 \to \psi) \wedge (\neg b_1 \to \psi)$
- 2.  $\operatorname{wp}(C_2, \psi) = (b_2 \to (b_1 \to \psi) \land (\neg b_1 \to \psi)) \land (\neg b_2 \to (b_1 \to \psi) \land (\neg b_1 \to \psi))$

3. 
$$\operatorname{wp}(C_3, \psi) = (b_3 \rightarrow (b_2 \rightarrow (b_1 \rightarrow \psi) \land (\neg b_1 \rightarrow \psi)) \land (\neg b_2 \rightarrow (b_1 \rightarrow \psi) \land (\neg b_1 \rightarrow \psi))) \land (\neg b_3 \rightarrow (b_2 \rightarrow (b_1 \rightarrow \psi) \land (\neg b_1 \rightarrow \psi))) \land (\neg b_2 \rightarrow (b_1 \rightarrow \psi) \land (\neg b_1 \rightarrow \psi)))$$



#### Let $C_n$ be the program:

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if (b_1) then skip else skip;
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#### The generated weakest preconditions are as follows:

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4. ...



# Single-assignment programs

The exponential explosion can be avoided if programs are converted first into passive-form (similar to single-assignment programs) [Flanagan & Saxe, 2001]

if 
$$(x_0 < 0)$$
 then  
 $x_1 := -x_0$   
else  
 $x_1 := x_0$ 

$$wp^*(C^{SA}, x_1 > 0) = ((x_0 < 0 \land x_1 = -x_0) \lor (\neg(x_0 < 0) \land x_1 = x_0))$$

$$\to x_1 > 0$$

# Single-assignment programs

The exponential explosion can be avoided if programs are converted first into passive-form (similar to single-assignment programs) [Flanagan & Saxe, 2001]

# Let $C^{SA}$ be the program

if 
$$(x_0 < 0)$$
 then  
 $x_1 := -x_0$   
else  
 $x_1 := x_0$ 

#### Calculating the WP is now direct

$$wp^*(C^{SA}, x_1 > 0) = ((x_0 < 0 \land x_1 = -x_0) \lor (\neg(x_0 < 0) \land x_1 = x_0))$$

$$\to x_1 > 0$$

#### Contributions

We **formalize** and **prove** a verification technique based on the translation of programs into a single-assignment intermediate form:

- a novel notion of annotated SA programs
- a translation of While programs (resp. Hoare triples) into SA programs (resp. SA Hoare triples)
- a logic and an efficient VCGen to reason about SA programs
- an adaptation-complete extension of the logic

helping to bridge the gap between program verifiers and theoretical foundations

# Setting

Single-assignment Program Verification

#### While programs

Comm 
$$\ni C ::=$$
 skip  $\mid x := e \mid C; C \mid$  if  $b$  then  $C$  else  $C$   $\mid$  while  $b$  do  $C$ 

AComm 
$$\ni$$
  $C$  ::= skip |  $x$  :=  $e$  |  $C$ ;  $C$  | if  $b$  then  $C$  else  $C$  | while  $b$  do  $\{\theta\}$   $C$ 



# Setting

Single-assignment Program Verification

#### While programs

#### Annotated while programs

AComm 
$$\ni$$
  $C$  ::= skip |  $x$  :=  $e$  |  $C$ ;  $C$  | if  $b$  then  $C$  else  $C$  | while  $b$  do  $\{\theta\}$   $C$ 

#### **Erasing annotations**

 $|.|: AComm \rightarrow Comm$ 



Setting

# Goal directed logic - system Hg

$$(\mathsf{skip}) \quad \overline{\{\phi\} \, \mathsf{skip} \, \{\psi\}} \quad \mathsf{if} \, \, \phi \to \psi \qquad \qquad (\mathsf{assign}) \quad \overline{\{\phi\} \, x := e \, \{\psi\}} \quad \mathsf{if} \, \, \phi \to \psi [e/x]$$

$$(seq) \quad \frac{\{\phi\} C_1 \{\theta\} \quad \{\theta\} C_2 \{\psi\}}{\{\phi\} C_1 ; C_2 \{\psi\}} \qquad (if) \quad \frac{\{\phi \land b\} C_t \{\psi\} \quad \{\phi \land \neg b\} C_f \{\psi\}}{\{\phi\} \text{ if } b \text{ then } C_t \text{ else } C_f \{\psi\}}$$

$$\frac{\{\theta \wedge b\} \ C \ \{\theta\}}{\{\phi\} \ \text{while} \ b \ \text{do} \ \{\theta\} \ C \ \{\psi\}} \ \ \text{if} \quad \begin{array}{l} \phi \rightarrow \theta \ \ \text{and} \\ \theta \wedge \neg b \rightarrow \psi \end{array}$$

- Hg is shown to be sound w.r.t. system H
- A program C is said to be correctly annotated w.r.t.  $(\phi, \psi)$ , if  $\vdash_{\mathsf{H}} \{\phi\} \mid C \mid \{\psi\} \text{ implies } \vdash_{\mathsf{Hg}} \{\phi\} \mid C \mid \{\psi\} \}$

# Factorial example

```
\{n \geq 0 \land n_{aux} = n\}
f := 1;
i := 1;
while i \le n \text{ do } \{f = (i-1)! \land i \le n+1 \land n_{aux} = n\}
      f := f * i;
      i := i + 1
```



# Factorial example

```
f := 1;
i := 1;
while i ≤ n do { f = (i - 1)! \land i \le n + 1 \land n_{aux} = n }
     f := f * i;
     i := i + 1
```



# Factorial example

```
f_1 := 1;
i_1 := 1:
\mathcal{I}
while (i_2 \le n_0) do \{f_2 = (i_2 - 1)! \land i_2 \le n_0 + 1 \land n_{aux_0} = n_0\}
      f_3 := f_2 * i_2;
      i_3 := i_2 + 1
      U
```



# Iterating single-assignment language

#### Restrictions on the use of variables imposed

- $x := e \in \mathbf{AComm}^{\mathsf{sa}}$  only if  $x \notin \mathsf{Vars}(e)$
- $C_1$ ;  $C_2 \in \mathbf{AComm^{sa}}$  only if  $C_1, C_2 \in \mathbf{AComm^{sa}}$  and  $Vars(C_1) \cap Asgn(C_2) = \emptyset$

 $\mathcal{W}:\mathsf{AComm}^\mathsf{sa}\to\mathsf{AComm}$ 



# Factorial example - single-assignment

```
f_1 := 1;
i_1 := 1;
for (\mathcal{I}, i_2 \le n_0, \ \mathcal{U}) do \{
f_2 = (i_2 - 1)! \land i_2 \le n_0 + 1 \land n_{aux_0} = n_0 \}
\{
f_3 := f_2 * i_2;
i_3 := i_2 + 1
\}
```

# Factorial example - single-assignment

```
\begin{split} f_1 &:= 1\,; \\ i_1 &:= 1\,; \\ \text{for } \left( \{i_2 := i_1\,;\; f_2 := f_1\}, i_2 \leq n_0, \{i_2 := i_3\,;\; f_2 := f_3\} \right) \text{ do } \{ \\ f_2 &= (i_2 - 1)! \, \land \, i_2 \leq n_0 + 1 \ \land \ n_{aux_0} = n_0 \} \\ \{ \\ f_3 &:= f_2 * i_2\,; \\ i_3 &:= i_2 + 1 \\ \} \end{split}
```



# Factorial example - single-assignment

```
\{n_0 \geq 0 \land n_{aux_0} = n_0\}
f_1 := 1:
i_1 := 1;
for (\{i_2 := i_1; f_2 := f_1\}, i_2 \le n_0, \{i_2 := i_3; f_2 := f_3\}) do \{
f_2 = (i_2 - 1)! \wedge i_2 \leq n_0 + 1
       f_3 := f_2 * i_2;
      i_3 := i_2 + 1
\{f_2 = n_{aux_0}!\}
```



#### SA translation

- We let  $\phi \# C$  denote Asgn $(C) \cap FV(\phi) = \emptyset$
- We call  $\{\phi\}$  C  $\{\psi\}$  an **SA triple** if  $C \in \mathsf{AComm}^{\mathsf{sa}}$  and  $\phi \# C$
- A function

$$\mathcal{T}: Assert \times AComm \times Assert \rightarrow Assert \times AComm^{sa} \times Assert$$

is said to be a single-assignment translation if when  $\mathcal{T}(\phi, C, \psi) = (\phi', C', \psi')$  we have  $\phi' \# C'$ , and:

- 1. If  $\models \{\phi'\} | \mathcal{W}(C')| \{\psi'\}$ , then  $\models \{\phi\} | C| \{\psi\}$
- 2. If  $\vdash_{\mathsf{Hg}} \{\phi\} \ C \{\psi\}$ , then  $\vdash_{\mathsf{Hg}} \{\phi'\} \ \mathcal{W}(C') \{\psi'\}$



# Inference system for annotated SA programs - system Hsa

(skip)  $\{\phi\}$  skip  $\{\phi \land \top\}$  (assign)  $\{\phi\} x := e\{\phi \land x = e\}$ 

$$(\text{seq}) \quad \frac{\{\phi\} \ C_1 \ \{\phi \wedge \psi_1\} \qquad \{\phi \wedge \psi_1\} \ C_2 \ \{\phi \wedge \psi_1 \wedge \psi_2\}}{\{\phi\} \ C_1 \ ; \ C_2 \ \{\phi \wedge \psi_1 \wedge \psi_2\}}$$
 
$$(\text{if}) \quad \frac{\{\phi \wedge b\} \ C_t \ \{\phi \wedge b \wedge \psi_t\} \qquad \{\phi \wedge \neg b\} \ C_f \ \{\phi \wedge \neg b \wedge \psi_f\}}{\{\phi\} \ \text{if} \ b \ \text{then} \ C_t \ \text{else} \ C_f \ \{\phi \wedge ((b \wedge \psi_t) \vee (\neg b \wedge \psi_f)))\}}$$
 
$$\frac{\{\theta \wedge b\} \ C \ \{\theta \wedge b \wedge \psi\}}{\{\phi\} \ \text{for} \ (\mathcal{I}, b, \mathcal{U}) \ \text{do} \ \{\theta\} \ C \ \{\phi \wedge \theta \wedge \neg b\}} \ \text{if} \quad \frac{\phi \to \mathcal{I}(\theta) \ \text{and}}{\theta \wedge b \wedge \psi \to \mathcal{U}(\theta)}$$

- Hsa is shown to be sound w.r.t H for SA triples
- Hsa is shown to be complete w.r.t. Hg for SA triples



# Adaptation-complete system - system Hsa<sup>+</sup>

Let Hsa<sup>+</sup> be the system Hsa with the addition of the following rule

$$\frac{\{\phi\} \ C \ \{\phi \wedge \psi\}}{\{\phi'\} \ C \ \{\phi' \wedge \left( \forall \, \vec{x}. \ \phi \rightarrow \psi \right) \}} \qquad \text{if} \quad \phi \# \ C \\ \vec{x} = \mathsf{FV}(\phi) \backslash (\mathsf{FV}(\phi') \cup \mathsf{Vars}(C))$$

Hsa<sup>+</sup> is an adaptation-complete system for SA programs

In this system the following derivation is possible

$$\frac{\{n \geq 0 \land n_{aux} = n\} \operatorname{\mathsf{Fact}}^{\mathsf{sa}} \{n \geq 0 \land n_{aux} = n \land f_2 = n_{aux}!\}}{\{n = 2\} \operatorname{\mathsf{Fact}}^{\mathsf{sa}} \{n = 2 \land (\forall n_{aux}. n \geq 0 \land n_{aux} = n \rightarrow f_2 = n_{aux}!)^{\mathsf{Tact}}\}}$$

and 
$$\models n = 2 \land (\forall n_{aux}. n > 0 \land n_{aux} = n \rightarrow f_2 = n_{aux}!) \rightarrow f = 2!$$

# Adaptation-complete system - system Hsa<sup>+</sup>

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$$\frac{\{\phi\} \ C \ \{\phi \land \psi\}}{\{\phi'\} \ C \ \{\phi' \land \left(\forall \ \vec{x}. \ \phi \rightarrow \psi\right)\}} \qquad \text{if} \quad \phi \# \ C \\ \vec{x} = \mathsf{FV}(\phi) \backslash (\mathsf{FV}(\phi') \cup \mathsf{Vars}(C))$$

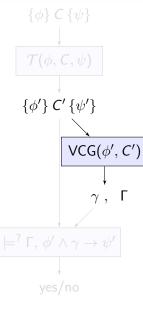
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and 
$$\models n = 2 \land (\forall n_{aux}. n \ge 0 \land n_{aux} = n \rightarrow f_2 = n_{aux}!) \rightarrow f = 2!$$





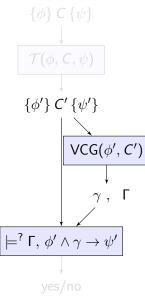
#### Soundness

$$\mathsf{lf} \models \Gamma, \, \phi' \land \gamma \to \psi' \; \mathsf{then} \; \models \{\phi\} \, \lfloor \, \mathcal{C} \, \rfloor \, \{\psi\} \,$$

#### Completeness

If  $\models \{\phi\} \, \lfloor C \rfloor \, \{\psi\}$  and C is correctly-annotated w.r.t.  $(\phi, \psi)$ , then  $\models \Gamma, \, \phi' \wedge \gamma \rightarrow \psi'$ 





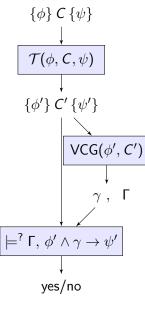
#### Soundness

If 
$$\models \Gamma$$
,  $\phi' \land \gamma \rightarrow \psi'$  then  $\models \{\phi\} \ \lfloor C \rfloor \{\psi\}$ 

#### Completeness

If  $\models \{\phi\} \ \lfloor C \rfloor \{\psi\}$  and C is correctly-annotated w.r.t.  $(\phi, \psi)$ , then  $\models \Gamma, \ \phi' \land \gamma \rightarrow \psi'$ 





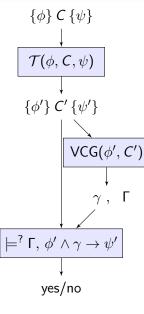
#### Soundness

$$\mathsf{lf} \models \mathsf{\Gamma},\, \phi' \land \gamma \to \psi' \;\mathsf{then} \; \models \{\phi\} \, \lfloor \mathit{C} \, \rfloor \, \{\psi\}$$

#### Completeness

If  $\models \{\phi\} \ \lfloor C \rfloor \{\psi\}$  and C is correctly-annotated w.r.t.  $(\phi, \psi)$ , then  $\models \Gamma, \ \phi' \land \gamma \rightarrow \psi'$ 





# Soundness

If 
$$\models \Gamma$$
,  $\phi' \land \gamma \to \psi'$  then  $\models \{\phi\} \ \lfloor C \rfloor \ \{\psi\}$ 

# Completeness

If  $\models \{\phi\} \ \lfloor C \rfloor \{\psi\}$  and C is correctly-annotated w.r.t.  $(\phi, \psi)$ , then  $\models \Gamma, \phi' \land \gamma \rightarrow \psi'$ 



#### Conclusion

- Our work proposes a **theoretical foundation** for program verifiers based on intermediate **single-assignment** form
- Hsa logic for SA programs with annotated loops
  - proved sound and complete
  - admits adaptation-complete extension
  - allows for the generation of linear-sized VCs
- As future work we intend to use this formulation to reason about bounded verification of programs



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#### Hoare calculus - system H

$$(\mathsf{skip}) \quad \overline{\{\phi\} \, \mathsf{skip} \, \{\phi\}} \qquad (\mathsf{assign}) \quad \overline{\{\psi[e/x]\} \, x := e \, \{\psi\}}$$
 
$$(\mathsf{seq}) \quad \frac{\{\phi\} \, C_1 \, \{\theta\} \quad \{\theta\} \, C_2 \, \{\psi\}}{\{\phi\} \, C_1 \, ; \, C_2 \, \{\psi\}} \qquad (\mathsf{if}) \quad \frac{\{\phi \wedge b\} \, C_t \, \{\psi\} \quad \{\phi \wedge \neg b\} \, C_f \, \{\psi\}}{\{\phi\} \, \mathsf{if} \, \, b \, \mathsf{then} \, \, C_t \, \mathsf{else} \, \, C_f \, \{\psi\}}$$
 
$$(\mathsf{while}) \quad \frac{\{\theta \wedge b\} \, C \, \{\theta\}}{\{\theta\} \, \mathsf{while} \, \, b \, \mathsf{do} \, \, C \, \{\theta \wedge \neg b\}} \qquad (\mathsf{conseq}) \quad \frac{\{\phi\} \, C \, \{\psi\}}{\{\phi'\} \, C \, \{\psi'\}} \quad \mathsf{if} \quad \psi \to \psi'$$

H is shown to be **sound** and **complete** (in the sense of Cook) w.r.t the semantics of Hoare triples